

MAY 21 2018

Page 1 of 1

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT

FORM B  
For New Members, Candidates, and New Employees

18 MAY 23 PM 3:22

OFFICE OF THE CLERK

HOUSE OF REPRESENTATIVES

W (Office Use Only)

Name: <u>Kristen Carlson</u>		Daytime Telephone: _____
FILER STATUS	New Member of or Candidate for U.S. House of Representatives	State: <u>Florida</u>
	Candidates – Date of Election: <u>Aug 28, 2018</u>	District: <u>15</u>
New Officer or Employee		Staff Filer Type (If Applicable): <input type="checkbox"/> Principal Assistant <input type="checkbox"/> Shared
Employing Office: _____		Period Covered: January 1, 2017 to <u>March 31, 2018</u>

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Receive more than \$200 in unearned income from any reportable asset during the reporting period? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "exempted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?  Yes  No

EXEMPTION – Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.  Yes  No

## SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Kristen Carlson

Page 1 of 1

**SCHEDULE C – EARNED INCOME**

Name: Kristen Carlson

Page 1 of 1

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below.

**EXCLUDE:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

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**INCOME LIMITS and PROHIBITED INCOME:** Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2017 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,765. The 2018 limit is \$28,050. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

## SCHEDULE D – LIABILITIES

Name: KRISTEN Carson Page 1 of 1

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **New Members:** Members are required to report all liabilities secured by real property including mortgages on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, JR Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability									
			A	B	C	D	E	F	G	H	I	J
Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE		\$10,001- \$15,000								
				\$15,001- \$50,000								
				\$50,001- \$100,000								
				X	\$100,001- \$250,000							
					\$250,001- \$500,000							
					\$500,001- \$1,000,000							
					\$1,000,001- \$5,000,000							
					\$5,000,001- \$25,000,000							
					\$25,000,001- \$50,000,000							
					Over \$50,000,000							
					Over \$1,000,000* (Spouse/DC Liability)							

## SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization
Employee	MacFarlane Ferguson & McMullen, TAMPA, FL
Executive Director	Florida Citrus Processors Association
Board Member; Secy	LakelandRocks.com, Inc.

## SCHEDULE F – AGREEMENTS

Name: KRISTEN Carlson

Page 1 of 1

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
N/A		

**SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. **Exclude:** Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. **Do not repeat information listed on Schedule C.**

Source (Name and City/State)	Brief Description of Duties
Example:      Doe Jones & Smith, Hometown, Homestate	Accounting Services  N/A

Use additional sheets if more space is required.



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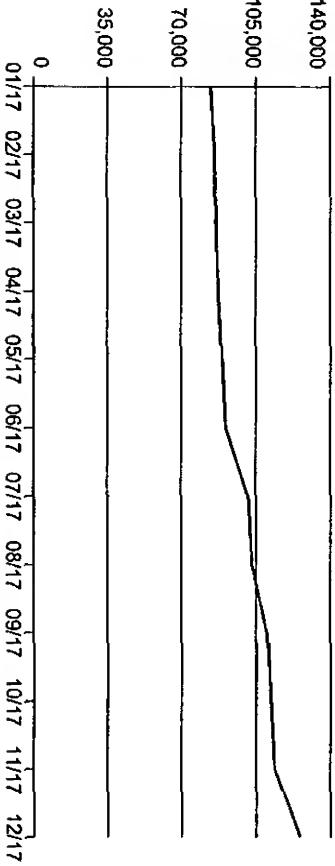
## SNAPSHOT

KRISTEN E CARLSON  
TOD REGISTRATION

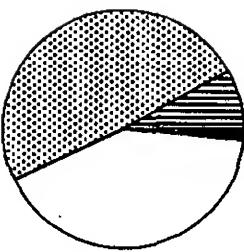
DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER:

### Value over time

	THIS PERIOD	THIS YEAR
<b>Opening value</b>	\$113,725.20	\$81,428.86
Cash deposited	10,000.00	20,224.17
Securities deposited	0.00	0.00
Cash withdrawn	0.00	0.00
Securities withdrawn	0.00	0.00
Change in value	1,894.22	23,966.39
<b>Closing value</b>	<b>\$125,619.42</b>	<b>\$125,619.42</b>



### Progress summary



PREVIOUS  
VALUE ON NOV 30

CURRENT  
VALUE ON DEC 31

ESTIMATED  
ANN. INCOME

ASSET TYPE	PREVIOUS VALUE ON NOV 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANN. INCOME
Cash and sweep balances	1,528.00	1.34	1,906.31	1.52	1
Stocks, options & ETFs	51,507.30	45.29	51,962.15	41.36	2,001
Fixed income securities	0.00	0.00	0.00	0.00	0
Mutual funds	60,689.90	53.37	61,580.66	49.02	3,775
Unit investment trusts	0.00	0.00	10,170.30	8.10	501
<b>Asset value</b>	<b>\$113,725.20</b>	<b>100%</b>	<b>\$125,619.42</b>	<b>100%</b>	<b>\$6,278</b>

### Portfolio summary

CURRENT

ASSETS

Cash and sweep balances	1,528.00	1.34	1,906.31	1.52	1
Stocks, options & ETFs	51,507.30	45.29	51,962.15	41.36	2,001
Fixed income securities	0.00	0.00	0.00	0.00	0
Mutual funds	60,689.90	53.37	61,580.66	49.02	3,775
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**SNAPSHOT**

KRISTEN E CARLSON  
TOD REGISTRATION

DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER:

**Cash flow summary**

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	\$1,528.00	
Deposits	10,000.00	20,201.00
Income and distributions	1,330.23	5,699.83
Securities sold and redeemed	0.00	19,829.59
Other additions	0.00	23.17
<b>Net additions to cash</b>	<b>\$11,330.23</b>	<b>\$45,753.59</b>
Securities purchased	-10,951.92	-52,361.88
<b>Net subtractions from cash</b>	<b>-\$10,951.92</b>	<b>-\$52,361.88</b>
<b>Closing value of cash and sweep balances</b>	<b>\$1,906.31</b>	

**Income summary \***

	THIS PERIOD	THIS YEAR
<b>TAXABLE</b> Money market/sweep funds		
Ordinary dividends and ST capital gains	0.19	0.64
Qualified dividends	443.34	2,853.71
Long term capital gains	267.75	1,312.80
<b>Total taxable income</b>	<b>565.63</b>	<b>565.63</b>
<b>TAX-EXEMPT</b> Dividends		
<b>Total federally tax-exempt income</b>	<b>\$1,276.91</b>	<b>\$4,732.78</b>
<b>Total income</b>	<b>\$53.32</b>	<b>\$83.75</b>
	<b>\$1,330.23</b>	<b>\$53.75</b>
		<b>\$5,316.53</b>

\* Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.

**Gain/loss summary**

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term (S)	11,429.68	0.00	0.00
Long term (L)	-545.29	0.00	-23,896.13
<b>Total</b>	<b>\$10,884.39</b>	<b>\$0.00</b>	<b>-\$23,896.13</b>



**Your Financial Advisor**

KARIN MCKNIGHT/BOB SULLIVAN

Phone: 863-688-9000

1401 SOUTH FLORIDA AVENUE  
LAKELAND FL 33803

DECEMBER 1, 2017 - DECEMBER 31, 2017

ACCOUNT NUMBER: XXXXXXXXXX  
KRISTEN E CARLSON  
TOD REGISTRATION

**Account profile**

Full account name:

KRISTEN E CARLSON  
TOD REGISTRATION  
Standard Brokerage

Account type:

Brokerage account number:

Tax status:

Investment objective/Risk tolerance:

Time horizon:

Liquidity needs:

Cost Basis Election:

Sweep option:

**Document delivery status**

Paper

Electronic

Statements:

Trade confirmations:

Tax documents:

Shareholder communications:

Other documents:

X

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KRISTEN E CARLSON  
TOD REGISTRATION

DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER:

## Additional information

	THIS PERIOD	THIS YEAR
Gross proceeds	0.00	19,829.59

## Portfolio detail

### Cash and Sweep Balances

**Sweep Balances** - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep Program(s) or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep Program(s) reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep Program(s). In addition, the money market mutual funds in the sweep reserve the right to require one or more days prior notice before permitting withdrawals.

**Standard Bank Deposit Sweep** - Consists of monies held at Wells Fargo Bank, N.A., which is affiliated with the Clearing Agent and (if amounts exceed \$250,000) at one or more banks affiliated with the Clearing Agent or Expanded Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more banks affiliated with the Clearing Agent or nonaffiliated banks. Standard Bank Deposit Sweep and Expanded Bank Deposit Sweep assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep Programs for your account, please contact Your Financial Advisor.

DESCRIPTION	ACCOUNT	% OF		CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
		CASH	ACCOUNT		
CASH		0.07		90.79	0.00
STANDARD BANK DEPOSIT		1.45		1,816.12	1.81
Interest Period 12/01/17 - 12/31/17					
<b>Total Cash and Sweep Balances</b>		<b>1.52</b>		<b>\$1,906.31</b>	<b>\$1.81</b>

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.



KRISTEN E CARLSON  
TOD REGISTRATION

DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER:

## Stocks, options & ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars. The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars. The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated. Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings.

DESCRIPTION	ACCOUNT	% OF QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ABBVIE INC	ABBY	Acquired 03/08/17 S	16.55	215	64.38	14,006.98	96,7100	20,792.65	6,785.67
BP PLC SPONS ADR	BP	Acquired 03/08/17 S	15.06	450	33.55	15,330.98	42,0300	18,913.50	3,582.52
BRISTOL MYERS SQUIBB CO	BMY	Acquired 07/05/17 S	9.76	200	56.07	11,369.63	61,2800	12,256.00	886.37
<b>Total Stocks and ETFs</b>			<b>41.36</b>		<b>\$40,707.59</b>		<b>\$51,962.15</b>	<b>\$11,254.56</b>	
<b>Total Stocks, options &amp; ETFs</b>			<b>41.36</b>		<b>\$40,707.59</b>		<b>\$51,962.15</b>	<b>\$11,254.56</b>	
								<b>\$2,001.60</b>	<b>3.85</b>
								<b>\$10.60</b>	<b>2.93</b>

## Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

### Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	ACCOUNT	% OF QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
LORD ABBETT TAX FREE INCOME FUND NATL SER A LANSX									
On Reinvestment									
Acquired 04/26/13 L		1,489,54000	11.95	17,805.75		16,995.65		-810.10	
Reinvestments L		210,81700	11.19	2,360.84		2,405.41		44.57	
Reinvestments S		56,67900	11.23	636.61		646.72		10.11	



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KRISTEN E CARLSON  
TOD REGISTRATION  
DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER:

### Mutual Funds

#### Open End Mutual Funds continued

DESCRIPTION	ACCOUNT	% OF QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	15.96	1,757,03600	\$11.84	\$20,803.20	11.4100	\$20,647.78	-\$755.42	\$648.34	3.23
			Client Investment (Excluding Reinvestments)			\$17,805.75			
			Gain/Loss on Client Investment (Including Reinvestments)			\$2,242.03			
OPPENHEIMER RISING DIV									
FDS INC CL-A									
OARDX									
On Reinvestment									
Acquired 01/30/14 L									
Reinvestments L									
Reinvestments S									
Total	8.91	578,45900	\$19.11	\$11,054.38	19.3500	\$11,193.18	\$138.80	\$168.91	1.51
			Client Investment (Excluding Reinvestments)			\$7,214.54			
			Gain/Loss on Client Investment (Including Reinvestments)			\$3,978.64			
Total Open End Mutual Funds	24.87			\$31,857.58		\$31,240.96	-\$616.62	\$817.25	2.62

#### Closed End Mutual Funds

Closed End Fund shares are priced at the market which may be more or less than its net asset value.

DESCRIPTION	ACCOUNT	% OF QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ANNUAL INCOME	ANNUAL YIELD (%)	
CALAMOS CONVERTIBLE OPPORTUNITIES AND INCOME										
CHI										
Acquired 01/26/07 L nc		3.38	380	19.49 20.34	7,407.82 7,877.01	11,1900	4,252.20	-3,155.62	433.20	10.18
EATON VANCE TAX ADVANTGD GLOBAL DIVIDEND OPS FD										
ETO										
Acquired 10/19/15 L		10.11	500	22.30 11,280.75	25,4000	12,700.00	1,419.25		1,080.00	8.50



KRISTEN E CARLSON  
TOD REGISTRATION

DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER:

## Mutual Funds

### Closed End Mutual Funds continued

DESCRIPTION	ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
LIBERTY ALL-STAR EQUITY FUND SH BEN INT USA Acquired 10/19/15 L		10.66	2,125	5.37	11,559.25	6,3000	13,387.50	1,828.25	1,445.00
Total Closed End Mutual Funds	24.15				\$30,247.82	\$30,339.70	\$91.88		\$2,958.20
					\$30,717.01				9.75
Total Mutual Funds	49.02				\$62,105.40	\$61,580.66	-\$524.74		\$3,775.45
					\$62,574.59				6.13

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

## Unit Investment Trusts

### Equity Trusts

DESCRIPTION	ACCOUNT	% OF QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
FIRST TRUST TARGET GLOBAL DVD 4Q '17 REINVEST									
CUSIP 30304W638 Acquired 12/1/17 S	8.10	1,002	9.99	10,015.73	10,1500	10,170.30	154.57	501.00	4.92
Total Equity Trusts	8.10			\$10,015.73	\$10,170.30	\$154.57		\$501.00	4.93
Total Unit Investment Trusts	8.10			\$10,015.73	\$10,170.30	\$154.57		\$501.00	4.93

## Activity detail

DATE	ACCOUNT/TYPE	TRANSACTION CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01	Cash	DIVIDEND		BEGINNING BALANCE			
				LORD ABBETT TAX FREE INCOME FUND NATL SER A 113017 1,752.31700 AS OF 11/30/17		53.32	1,528.00



KRISTEN E CARLSON  
TOD REGISTRATION

DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER:

### Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01	Cash	REINVEST DIV	4.71900	LORD ABBETT TAX FREE INCOME FUND NATL SER A REINVEST AT 11.300		-53.32	
12/07	Cash	LT CAP GAIN		OPPENHEIMER RISING DIV FDS INC CLA 120617 532.23500 AS OF 12/06/17		565.63	1,528.00
12/07	Cash	SHRT TRM GAIN		OPPENHEIMER RISING DIV FDS INC CLA 120617 487.87100 AS OF 12/06/17		281.72	
12/07	Cash	DIVIDEND		OPPENHEIMER RISING DIV FDS INC CLA 120617 502.62100 AS OF 12/06/17		35.52	
12/07	Cash	REINVESTMENT	28.61400	OPPENHEIMER RISING DIV FDS INC CLA REINVEST AT 19.100		-565.63	
12/07	Cash	REINVESTMENT	14.75000	OPPENHEIMER RISING DIV FDS INC CLA REINVEST AT 19.100		-281.72	
12/07	Cash	REINVEST DIV	1.86000	OPPENHEIMER RISING DIV FDS INC CLA REINVEST AT 19.100		-35.52	
12/08	Cash	DEPOSIT		FUNDS RECD			1,528.00
12/11	Cash	PURCHASE	1,002.00000	FIRST TRUST TARGET GLOBAL DVD 4Q '17 REINVEST	9.9900	-10,015.73	1,512.27
12/15	Cash	DIVIDEND		CALAMOS CONVERTIBLE OPPORTUNITIES AND INCOME	36.10		1,548.37
12/21	Cash	DIVIDEND		121517 380			
12/29	Cash	DIVIDEND		BP PLC SPONS ADR 122117 450			
12/29	Cash	INTEREST		EATON VANCE TAX ADVANTG GLOBAL DIVIDEND OPPS FD 122917 500 STANDARD BANK DEPOSIT 122917 1,816.12000	90.00	0.19	1,906.31

# Attachment 1

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## ALLEN & CO COMPANY

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KRISTEN E CARLSON  
TOD REGISTRATION

DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER:

### Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT
12/01		BEGINNING BALANCE	1,437.89
12/01	TRANSFER TO	STANDARD BANK DEPOSIT	90.11
12/11	TRANSFER TO	STANDARD BANK DEPOSIT	10,000.00
12/13	TRANSFER FROM	STANDARD BANK DEPOSIT	-10,015.73

DATE	TRANSACTION	DESCRIPTION	AMOUNT
12/18	TRANSFER TO	STANDARD BANK DEPOSIT	36.10
12/22	TRANSFER TO	STANDARD BANK DEPOSIT	267.75
12/31		ENDING BALANCE	1,816.12

# Attachment 1-B

## COMBINED SNAPSHOT

KRISTEN E CARLSON  
TOD REGISTRATION

April 1, 2018 - April 30, 2018  
PRIMARY ACCOUNT NUMBER:



### Your Financial Advisor

KARIN MCKNIGHT/BOB SULLIVAN  
Phone: 863-688-9000

1401 SOUTH FLORIDA AVENUE  
LAKELAND FL 33803

### What's inside your Combined Snapshot ...

ACCOUNT NAME	STATEMENT ENCLOSED	ACCOUNT NUMBER	TAX STATUS	PREVIOUS VALUE ON MAR 31	NET CHANGE	CURRENT VALUE ON APR 30
KRISTEN E CARLSON TOD REGISTRATION	Yes		Taxable	125,198.41	977.06	126,175.47
KRISTEN E. CARLSON (IRA) WFCS AS CUSTODIAN	Yes		Retirement	484,783.44	1,614.71	486,398.15
Total				\$609,981.85	\$2,591.77	\$612,573.62

## COMBINED SNAPSHOT

KRISTEN E CARLSON  
TOD REGISTRATION

April 1, 2018 - April 30, 2018  
PRIMARY ACCOUNT NUMBER:

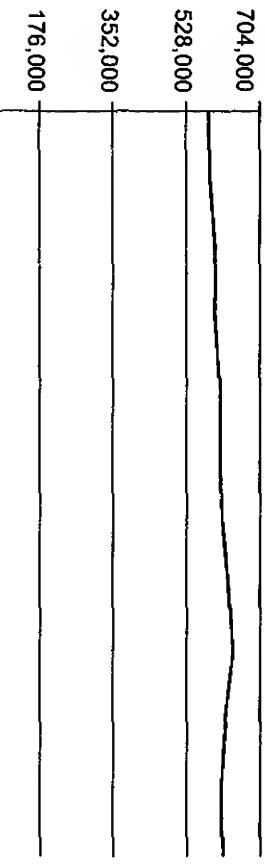


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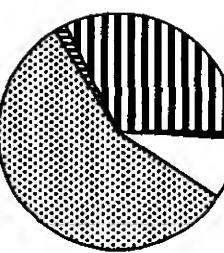
### Combined progress summary

	THIS PERIOD	THIS YEAR
Opening value	\$609,981.85	\$627,243.08
Cash deposited	0.00	0.00
Securities deposited	0.00	0.00
Cash withdrawn	-1,400.00	-5,600.00
Securities withdrawn	0.00	0.00
Change in value	3,991.77	-9,069.46
<b>Closing value</b>	<b>\$612,573.62</b>	<b>\$612,573.62</b>

### Value over time



### Combined portfolio summary



CURRENT  
ASSETS

ASSET TYPE	PREVIOUS VALUE ON MAR 31	% CHANGE	CURRENT VALUE ON APR 30		ESTIMATED ANN. INCOME
			AMOUNT	% CHANGE	
Cash and sweep balances	6,171.34	1.01	5,640.20	0.92	7
Stocks, options & ETFs	51,242.75	8.40	51,249.75	8.37	2,216
Fixed income securities	0.00	0.00	0.00	0.00	0
Mutual funds	345,909.17	56.71	348,795.85	56.94	19,632
Unit investment trusts	9,842.55	1.61	9,990.48	1.63	525
Annuities/Insurance #	196,816.04	32.27	196,897.34	32.14	0
<b>Asset value</b>	<b>\$609,981.85</b>	<b>100%</b>	<b>\$612,573.62</b>	<b>10%</b>	<b>\$22,380</b>

# Certain assets in this category may not be held in your account. None of the positions are protected by SIPC. If you no longer own any of these investments, please tell us so we can update this section. For important additional information please refer to the disclosures contained in this statement.



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## COMBINED SNAPSHOT

KRISTEN E CARLSON  
TOD REGISTRATION

April 1, 2018 - April 30, 2018  
PRIMARY ACCOUNT NUMBER:

### Combined cash flow summary

	THIS PERIOD	THIS YEAR
<b>Opening value of cash and sweep balances</b>	<b>\$6,171.34</b>	<b>7,496.89</b>
Income and distributions	980.82	129.27
Securities sold and redeemed		
<b>Net additions to cash</b>	<b>\$1,110.09</b>	<b>\$7,626.16</b>
Withdrawals	-1,400.00	-5,600.00
Securities purchased	-241.23	-555.93
<b>Net subtractions from cash</b>	<b>-\$1,641.23</b>	<b>-\$6,155.93</b>
<b>Closing value of cash and sweep balances</b>	<b>\$5,640.20</b>	

### Combined gain/loss summary

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
<b>TAXABLE ACCOUNTS</b>			
Short term (S)	-1,144.72	0.00	0.00
Long term (L)	10,574.04	0.00	0.00
<b>Total for taxable accounts</b>	<b>\$9,429.32</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>RETIREMENT ACCOUNTS</b>			
Total for retirement accounts	<b>-\$10,658.95</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>COMBINED SNAPSHOT TOTALS</b>	<b>Total gain/loss on all accounts</b>	<b>-\$1,229.63</b>	<b>\$0.00</b>

### Combined income summary

	THIS PERIOD	THIS YEAR
<b>TAXABLE ACCOUNTS</b>		
Money market/sweep funds	0.47	1.24
Ordinary dividends and ST capital gains	184.95	995.47
Qualified dividends	0.00	500.40
<b>Taxable income on taxable accounts</b>	<b>\$1,185.42</b>	<b>\$1,497.11</b>
Dividends	53.11	161.45
<b>Tax exempt income on taxable accounts</b>	<b>\$53.11</b>	<b>\$161.45</b>
<b>Subtotal income on taxable accounts</b>	<b>\$2,238.53</b>	<b>\$1,658.56</b>
<b>RETIREMENT ACCOUNTS</b>		
Subtotal income on retirement accounts	\$742.29	\$4,484.90
<b>COMBINED SNAPSHOT TOTALS</b>	<b>Total income on all accounts</b>	<b>\$6,143.46</b>

## SNAPSHOT

KRISTEN E CARLSON  
TOD REGISTRATION

APRIL 1, 2018 - APRIL 30, 2018  
ACCOUNT NUMBER: [REDACTED]

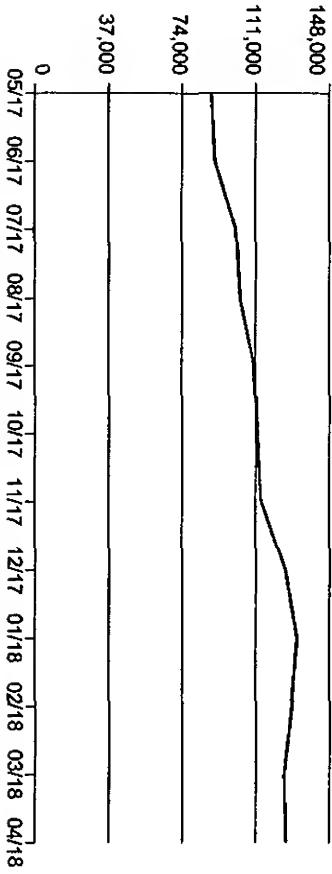
Page 1 of 9



### Progress summary

	THIS PERIOD	THIS YEAR
Opening value	\$125,198.41	\$125,619.42
Cash deposited	0.00	0.00
Securities deposited	0.00	0.00
Cash withdrawn	0.00	0.00
Securities withdrawn	0.00	0.00
Change in value	977.06	556.05
<b>Closing value</b>	<b>\$126,175.47</b>	<b>\$126,175.47</b>

### Value over time

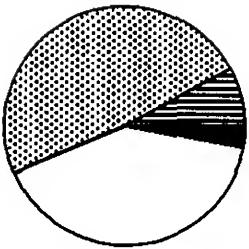


### Portfolio summary

#### CURRENT

#### ASSETS

ASSET TYPE	PREVIOUS VALUE ON MAR 31	%	CURRENT VALUE ON APR 30	%	ESTIMATED ANN. INCOME
Cash and sweep balances	3,529.53	2.82	3,656.10	2.90	5
Stocks, options & ETFs	51,242.75	40.93	51,249.75	40.62	2,216
Fixed income securities	0.00	0.00	0.00	0.00	0
Mutual funds	60,583.58	48.39	61,279.14	48.57	3,761
Unit investment trusts	9,842.55	7.86	9,990.48	7.92	525
<b>Asset value</b>	<b>\$125,198.41</b>	<b>100%</b>	<b>\$126,175.47</b>	<b>100%</b>	<b>\$6,507</b>



**SNAPSHOT**

KRISTEN E CARLSON  
TOD REGISTRATION

Page 2 of 9

**Cash flow summary**

<b>Opening value of cash and sweep balances</b>		<b>THIS PERIOD</b>	<b>THIS YEAR</b>
Income and distributions		\$3,529.53	2,176.45
Securities sold and redeemed		238.53	129.27
<b>Net additions to cash</b>		<b>\$367.80</b>	<b>\$2,305.72</b>
Securities purchased		-241.23	-555.93
<b>Net subtractions from cash</b>		<b>-\$241.23</b>	<b>-\$555.93</b>
<b>Closing value of cash and sweep balances</b>		<b>\$3,656.10</b>	

**Income summary \***

	<b>THIS PERIOD</b>	<b>THIS YEAR</b>
<b>TAXABLE</b>		
Money market/sweep funds	0.47	1.24
Ordinary dividends and ST capital gains	184.95	995.47
Qualified dividends	0.00	500.40
<b>Total taxable income</b>	<b>\$1,485.42</b>	<b>\$1,497.11</b>
<b>TAX-EXEMPT</b>		
Dividends	53.11	161.45
<b>Total federally tax-exempt income</b>	<b>\$53.11</b>	<b>\$161.45</b>
<b>Total income</b>	<b>\$238.53</b>	<b>\$1,658.56</b>

\* Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.

**Gain/loss summary**

	<b>UNREALIZED</b>	<b>THIS PERIOD REALIZED</b>	<b>THIS YEAR REALIZED</b>
Short term (S)	-1,144.72	0.00	0.00
Long term (L)	10,574.04	0.00	0.00
<b>Total</b>	<b>\$9,429.32</b>	<b>\$0.00</b>	<b>\$0.00</b>



## SNAPSHOT

KRISTEN E CARLSON  
TOD REGISTRATION

APRIL 1, 2018 - APRIL 30, 2018  
ACCOUNT NUMBER: [REDACTED]

### Your Financial Advisor

KARIN MCKNIGHT/BOB SULLIVAN

Phone: 863-688-9000

1401 SOUTH FLORIDA AVENUE  
LAKELAND FL 33803

### Document delivery status

Paper

Electronic

#### Account profile

Full account name:

KRISTEN E CARLSON  
TOD REGISTRATION

Standard Brokerage

Account type:

Brokerage account number:

Tax status:

Investment objective/Risk tolerance:

Time horizon:

Liquidity needs:

Cost Basis Election:

Sweep option:

Taxable

MODERATE GROWTH & INCOME

Please Provide

Please Provide

First in, First out

STANDARD BANK DEPOSIT

Statements:  
Trade confirmations:  
Tax documents:  
Shareholder communications:  
Other documents:

X  
X  
X  
X

X

X



KRISTEN E CARLSON  
TOD REGISTRATION

APRIL 1, 2018 - APRIL 30, 2018  
ACCOUNT NUMBER:

## Additional information

Return of principal

THIS PERIOD	THIS YEAR
129.27	129.27

## Portfolio detail

### Cash and Sweep Balances

**Sweep Balances** - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep Program(s) or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep Program(s) reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep Program(s). In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

**Standard Bank Deposit Sweep** - Consists of monies held at Wells Fargo Bank, N.A., which is affiliated with the Clearing Agent and (if amounts exceed \$250,000) at another bank affiliated with the Clearing Agent. Expanded Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more banks affiliated with the Clearing Agent or nonaffiliated banks. Standard Bank Deposit Sweep and Expanded Bank Deposit Sweep assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep Programs for your account, please contact Your Financial Advisor.

### Total Cash and Sweep Balances

DESCRIPTION	ACCOUNT	% OF ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	0.07	0.00	90.47	0.00
STANDARD BANK DEPOSIT	2.83	0.16	3,565.63	5.70
Interest Period 04/01/18 - 04/30/18				
<b>Total Cash and Sweep Balances</b>	<b>2.90</b>		<b>\$3,656.10</b>	<b>\$5.70</b>

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.



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KRISTEN E CARLSON  
TOD REGISTRATION

APRIL 1, 2018 - APRIL 30, 2018  
ACCOUNT NUMBER:

## Stocks, options & ETFs

### Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars. The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars. The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated. Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings.

DESCRIPTION	ACCOUNT	% OF QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ABBVIE INC	ABBV								
Acquired 03/08/17 L		16.45	215	64.38	14,006.98	96,5500	20,758.25	6,751.27	826 3.97
BP PLC SPONS ADR	BP								
Acquired 03/08/17 L		15.90	450	33.55	15,330.98	44,5900	20,065.50	4,734.52	1,071 5.33
BRISTOL MYERS SQUIBB CO	BMY								
Acquired 07/05/17 S		8.26	200	56.07	11,369.63	52,1300	10,426.00	-943.63	320 3.06
<b>Total Stocks and ETFs</b>		<b>40.62</b>		<b>\$40,707.59</b>		<b>\$51,249.75</b>		<b>\$10,542.16</b>	<b>\$2,217 4.33</b>
<b>Total Stocks, options &amp; ETFs</b>		<b>40.62</b>		<b>\$40,707.59</b>		<b>\$51,249.75</b>		<b>\$10,542.16</b>	<b>\$2,217 4.33</b>

## Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

### Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	ACCOUNT	% OF QUANTITY	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
LORD ABBETT TAX FREE INCOME FUND NATL SER A LANSX										
On Reinvestment Acquired 04/26/13 L		1,489.54000	11.95	17,805.75			16,563.68	-1,242.07		
Reinvestments L		230.26600	11.18	2,576.35			2,560.57	-15.78		
Reinvestments S		56.29700	11.29	635.66			626.01	-9.65		



KRISTEN E CARLSON  
TOD REGISTRATION

APRIL 1, 2018 - APRIL 30, 2018  
ACCOUNT NUMBER: 3734-7203

## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	ACCOUNT	% OF QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ANNUAL INCOME	ANNUAL YIELD (%)	
<b>Total</b>		<b>15.65</b>	<b>1,776,103.00</b>	<b>\$11.83</b>	<b>\$21,017.76</b>	<b>11.1200</b>	<b>\$19,750.26</b>	<b>-\$1,267.50</b>	<b>\$647</b>	<b>3.27</b>
<b>Client Investment (Excluding Reinvestments)</b>										
<b>Gain/Loss on Client Investment (Including Reinvestments)</b>										
<b>OPPENHEIMER RISING DIV</b>										
<b>FDS INC CLA</b>										
<b>OARDX</b>										
<b>On Reinvestment</b>										
<b>Acquired 01/30/14 L</b>										
<b>Reinvestments L</b>										
<b>Reinvestments S</b>										
<b>Total</b>		<b>8.81</b>	<b>579,929.00</b>	<b>\$19.11</b>	<b>\$11,082.20</b>	<b>19.1700</b>	<b>\$11,117.23</b>	<b>\$35.03</b>	<b>\$157</b>	<b>1.41</b>
<b>Client Investment (Excluding Reinvestments)</b>										
<b>Gain/Loss on Client Investment (Including Reinvestments)</b>										
<b>Total Open End Mutual Funds</b>		<b>24.46</b>					<b>\$30,867.49</b>	<b>-\$1,232.47</b>		
<b>Closed End Mutual Funds</b>										
Closed End Fund shares are priced at the market which may be more or less than its net asset value.										
<b>ESTIMATED</b>										
<b>DESCRIPTION</b>										
<b>ACCOUNT</b>										
<b>% OF QUANTITY</b>										
<b>ADJ PRICE/ ORIG PRICE</b>										
<b>ADJ COST/ ORIG COST</b>										
<b>CURRENT PRICE</b>										
<b>CURRENT MARKET VALUE</b>										
<b>UNREALIZED GAIN/LOSS</b>										
<b>ANNUAL INCOME</b>										
<b>ANNUAL YIELD (%)</b>										
<b>CALAMOS CONVERTIBLE OPPORTUNITIES AND INCOME</b>										
<b>CHI</b>										
<b>Acquired 01/26/07 L nc</b>										
<b>EATON VANCE TAX ADVANTGD GLOBAL DIVIDEND OPPS FD</b>		<b>3.41</b>	<b>380</b>	<b>19.10</b>	<b>7,259.62</b>	<b>11.3300</b>	<b>4,305.40</b>	<b>-2,954.22</b>	<b>433</b>	<b>10.06</b>
<b>ETO</b>				<b>20.34</b>	<b>7,877.01</b>					
<b>Acquired 10/19/15 L</b>		<b>10.16</b>	<b>500</b>	<b>22.30</b>	<b>11,280.75</b>	<b>25.6500</b>	<b>12,825.00</b>	<b>1,544.25</b>	<b>1,080</b>	<b>8.42</b>



KRISTEN E CARLSON  
TOD REGISTRATION

APRIL 1, 2018 - APRIL 30, 2018  
ACCOUNT NUMBER:

## Mutual Funds

### Closed End Mutual Funds continued

DESCRIPTION	ACCOUNT	% OF QUANTITY	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
LIBERTY ALL-STAR EQUITY FUND SH BEN INT USA Acquired 10/19/15 L		10.53	2,125	5.37	11,559.25	6,2500	13,281.25	1,722.00	1,445	10.88
<b>Total Closed End Mutual Funds</b>	<b>24.10</b>				<b>\$30,099.62</b>		<b>\$30,411.65</b>	<b>\$312.03</b>	<b>\$2,958</b>	<b>9.73</b>
<b>Total Mutual Funds</b>	<b>48.57</b>				<b>\$62,199.58</b>		<b>\$61,279.14</b>	<b>-\$920.44</b>	<b>\$3,761</b>	<b>6.14</b>

TC Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

## Unit Investment Trusts

### Equity Trusts

DESCRIPTION	ACCOUNT	% OF QUANTITY	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
FIRST TRUST TARGET GLOBAL DVD 4Q '17 REINVEST										
CUSIP 30304W638 Acquired 12/1/17 S		1,002	9.85	9,870.95			9,679.31	-191.64		
Reinvestments S		32,21200	9.99	10,015.73			311.17	-0.76		
<b>Total</b>		<b>7.92</b>	<b>1,034.21200</b>	<b>\$9.85</b>	<b>\$10,182.88</b>	<b>9,6600</b>	<b>\$9,990.48</b>	<b>-\$192.40</b>	<b>\$525</b>	<b>5.26</b>
<b>Total Equity Trusts</b>		<b>7.92</b>			<b>\$10,182.88</b>		<b>\$9,990.48</b>	<b>-\$192.40</b>	<b>\$525</b>	<b>5.26</b>
<b>Total Unit Investment Trusts</b>	<b>7.92</b>				<b>\$10,182.88</b>		<b>\$9,990.48</b>	<b>-\$192.40</b>	<b>\$525</b>	<b>5.26</b>



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KRISTEN E CARLSON  
TOD REGISTRATION  
APRIL 1, 2018 - APRIL 30, 2018  
ACCOUNT NUMBER:

### Activity detail

DATE	ACCOUNT/TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	BEGINNING BALANCE	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/01								
04/02	Cash	DIVIDEND		LORD ABETT TAX FREE INCOME FUND NATL SER A 032918 1,771.35/00 AS OF 3/29/18	53.11			3,529.53
04/02	Cash	REINVEST DIV	4.74600	LORD ABETT TAX FREE INCOME FUND NATL SER A REINVEST AT 11.190		-53.11		3,529.53
04/20	Cash	DIVIDEND		CALAMOS CONVERTIBLE OPPORTUNITIES AND INCOME 042018 380		36.10		3,565.63
04/25	Cash	DIVIDEND		FIRST TRUST TARGET GLOBAL DVD 4Q '17 REINVEST 042518 1,014.69/00	58.85			
04/25	Cash	RET OF CAPTL		FIRST TRUST TARGET GLOBAL DVD 4Q '17 REINVEST 042518 1,014.69/00		129.27		
04/25	Cash	REINVEST DIV	13.41100	FIRST TRUST TARGET GLOBAL DVD 4Q '17 REINVEST 042518 1,014.69/00	9.6390	-129.27		
04/25	Cash	REINVEST DIV	6.10500	FIRST TRUST TARGET GLOBAL DVD 4Q '17 REINVEST 042518 1,014.69/00		-58.85		
				REINVEST AT 9.639				3,565.63
04/30	Cash	DIVIDEND		EATON VANCE TAX ADVANTGD GLOBAL DIVIDEND OPPS FD 043018 500		90.00		
04/30	Cash	INTEREST		STANDARD BANK DEPOSIT 043018 3,565.63/00		0.47		3,656.10



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KRISTEN E CARLSON  
TOD REGISTRATION  
APRIL 1, 2018 - APRIL 30, 2018  
ACCOUNT NUMBER:

### Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT
04/01		BEGINNING BALANCE	3,171.45
04/02	TRANSFER TO	STANDARD BANK DEPOSIT	358.08

DATE	TRANSACTION	DESCRIPTION	AMOUNT
04/23	TRANSFER TO	STANDARD BANK DEPOSIT	36.10
04/30		ENDING BALANCE	3,565.63

# Attachment 2 --

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## SNAPSHOT

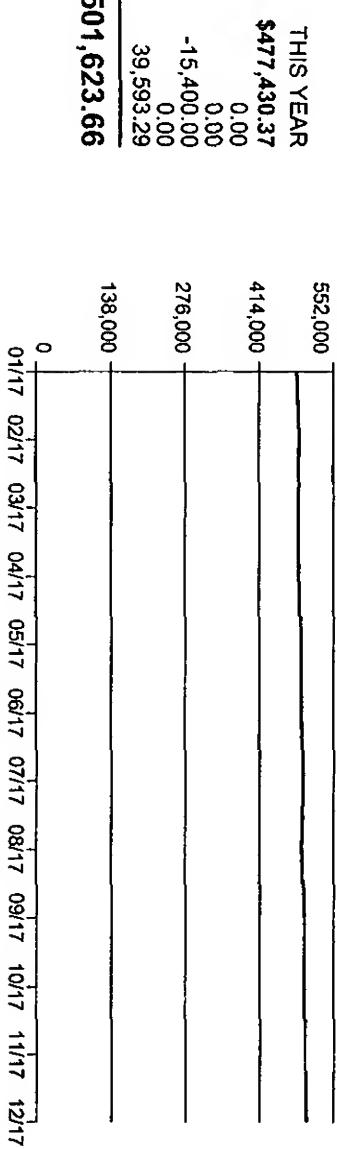
KRISTEN E. CARLSON (IRA)  
WFCS AS CUSTODIAN

DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER:

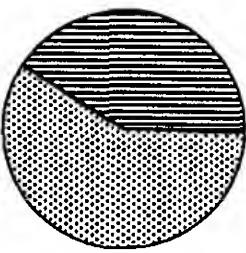
### Progress summary

	THIS PERIOD	THIS YEAR
<b>Closing value</b>	<b>\$501,623.66</b>	<b>\$501,623.66</b>
Opening value	\$497,891.79	\$477,430.37
Cash deposited	0.00	0.00
Securities deposited	0.00	0.00
Cash withdrawn	-1,400.00	-15,400.00
Securities withdrawn	0.00	0.00
Change in value	5,131.87	39,593.29

### Value over time



### Portfolio summary



CURRENT

ASSETS	ASSET TYPE	PREVIOUS		CURRENT		ESTIMATED ANN. INCOME
		VALUE ON NOV 30	%	VALUE ON DEC 31	%	
	Cash and sweep balances	1,366.62	0.27	2,263.66	0.45	0
	Stocks, options & ETFs	0.00	0.00	0.00	0.00	0
	Fixed income securities	0.00	0.00	0.00	0.00	0
	Mutual funds	292,399.76	58.73	294,897.09	58.79	16,433
	Annuities/insurance #	204,125.41	41.00	204,462.91	40.76	0
<b>Asset value</b>		<b>\$497,891.79</b>	<b>100%</b>	<b>\$501,623.66</b>	<b>100%</b>	<b>\$16,433</b>

# Certain assets in this category may not be held in your account. None of the positions are protected by SIPC. If you no longer own any of these investments, please tell us so we can update this section. For important additional information please refer to the disclosures contained in this statement.

## SNAPSHOT

KRISTEN E. CARLSON (IRA)  
WFCS AS CUSTODIAN



DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER: [REDACTED]

## Cash flow summary

	THIS PERIOD	THIS YEAR
<b>Opening value of cash and sweep balances</b>	<b>\$1,366.62</b>	
Income and distributions	2,826.14	17,357.19
Securities sold and redeemed	0.00	34,084.61
<b>Net additions to cash</b>	<b>\$2,826.14</b>	<b>\$51,441.80</b>
Withdrawals	-1,400.00	-15,400.00
Securities purchased	-529.10	-37,063.86
<b>Net subtractions from cash</b>	<b>-\$1,929.10</b>	<b>-\$52,463.86</b>
<b>Closing value of cash and sweep balances</b>	<b>\$2,263.66</b>	

## Income summary \*

	THIS PERIOD	THIS YEAR
Money market/sweep funds	0.11	0.83
Dividends and short term capital gains	2,296.93	14,673.71
Long term capital gains	529.10	1,571.69
<b>Total Income</b>	<b>\$2,826.14</b>	<b>\$16,246.23</b>

\* Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.

## Gain/loss summary

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term (S)	2,655.18	0.00	73.35
Long term (L)	-5,933.75	0.00	-11,986.97
<b>Total</b>	<b>-\$3,278.57</b>	<b>\$0.00</b>	<b>-\$11,913.62</b>



## SNAPSHOT

KRISTEN E. CARLSON (IRA)  
WFCS AS CUSTODIAN

DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER: [REDACTED]

## Retirement summary

IRA Plan Value \$501,623.66

A portion of these assets may not be covered by SIPC. Bank products are eligible for FDIC insurance up to \$250,000 in accordance with FDIC rules.

This is your individual retirement account (IRA) Fair Market Value statement. The amount reflected in the "IRA Fair Market Value" is reported to the IRS on Form 5498 in May. Any corrections made to your market value after December 31, 2017 will result in a corrected "IRA Portfolio Holding Valuation" or Form 5498 being issued to you.

## ACCOUNT INFORMATION

IRA Fair Market Value: \$501,623.66  
Account Holder Birthdate: 02/13/54  
Attained Age as of 12/31/17: 63.5

This beneficiary information is based upon the most recent data available and is being provided as a service to you. In the event of death, your most recent beneficiary designation on file will govern.

## PRIMARY BENEFICIARY INFORMATION

HARRISON R. CHADWELL  
ROBERT R. CHADWELL

RETIREMENT TRANSACTIONS	CONTRIBUTION SUMMARY	AMOUNT
	Contributions 2017 FOR 2017	\$0.00

2017 DISTRIBUTION SUMMARY	AMOUNT
Net Distributions	-13,090.00
Federal Withholding	-2,310.00
Gross Distributions	-\$15,400.00

Contact us if information on this page requires updates.

Your Financial Advisor:  
KARIN MCKNIGHT/BOB SULLIVAN  
863-688-9000



**Your Financial Advisor**

KARIN MCKNIGHT/BOB SULLIVAN

Phone: 863-688-9000

1401 SOUTH FLORIDA AVENUE  
LAKELAND FL 33803

DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER [REDACTED]

**SNAPSHOT**

KRISTEN E. CARLSON (IRA)  
WFCS AS CUSTODIAN

**Document delivery status**

Paper

Electronic

Statements:

X

Trade confirmations:

X

Tax documents:

X

Shareholder communications:

X

Other documents:

X

**Account profile**

Full account name:

KRISTEN E. CARLSON (IRA)  
WFCS AS CUSTODIAN  
Individual Retirement Account

Account type:

Brokerage account number:

Tax status:

Investment objective/Risk tolerance:

Time horizon:

Liquidity needs:

Cost Basis Election:

Sweep option:

Retirement  
MODERATE GROWTH & INCOME  
LONG TERM (10+ YEARS)  
NONE  
First in, First out  
STANDARD BANK DEPOSIT



KRISTEN E. CARLSON (IRA)  
WFCS AS CUSTODIAN

DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER:

## Additional information

	THIS PERIOD	THIS YEAR
Gross proceeds	0.00	34,084.61

## Portfolio detail

### Cash and Sweep Balances

**Sweep Balances** - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep Program(s) or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep Program(s) reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep Program(s). In addition, the money market mutual funds in the sweep reserve the right to require one or more days prior notice before permitting withdrawals.

**Standard Bank Deposit Sweep** - Consists of monies held at Wells Fargo Bank, N.A., which is affiliated with the Clearing Agent and (if amounts exceed \$250,000) at another bank affiliated with the Clearing Agent. **Expanded Bank Deposit Sweep** - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more banks affiliated with the Clearing Agent or nonaffiliated banks. Standard Bank Deposit Sweep and Expanded Bank Deposit Sweep assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep Programs for your account, please contact Your Financial Advisor.

DESCRIPTION	ACCOUNT	% OF CURRENT MARKET VALUE		ESTIMATED ANNUAL INCOME
		CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME	
Cash	0.34	1,687.00	0.00	
STANDARD BANK DEPOSIT	0.11	576.66	0.74	
Interest Period 12/01/17 - 12/31/17				
<b>Total Cash and Sweep Balances</b>	<b>0.45</b>	<b>\$2,263.66</b>	<b>\$0.74</b>	

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.



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KRISTEN E. CARLSON (IRA)  
WFCS AS CUSTODIAN

DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER:

## Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

### Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

ESTIMATED

ANNUAL  
INCOME (%) ANNUAL  
YIELD (%)

DESCRIPTION	ACCOUNT	% OF QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS
CAPITAL INCOME BLDR							
CL A							
CAIBX							
On Reinvestment							
Acquired 04/26/13 L							
Reinvestments L							
Reinvestments S							
<b>Total</b>	<b>4.30</b>	<b>343,723.00</b>	<b>\$60.13</b>	<b>\$20,668.31</b>	<b>62.8200</b>	<b>\$21,592.67</b>	<b>\$924.36</b>
							<b>\$687.44</b>
							<b>3.18</b>
LORD ABBETT INV TR CONVERTIBLE FD CLA							
LACFX							
On Reinvestment							
Acquired 01/30/14 L							
Reinvestments L							
<b>Total</b>	<b>4.29</b>	<b>1,638,833.00</b>	<b>\$12.82</b>	<b>\$21,011.94</b>	<b>13.1400</b>	<b>\$21,534.26</b>	<b>\$522.32</b>
							<b>\$335.96</b>
							<b>1.56</b>
LORD ABBETT VALUE OPPORTUNITIES FUND							
CLA							
LVOAX							
On Reinvestment							
Acquired 03/08/17 S							
Reinvestments S							
<b>Total</b>	<b>3.56</b>	<b>888,967.00</b>	<b>\$19.67</b>	<b>\$17,481.72</b>	<b>20.0900</b>	<b>\$17,859.34</b>	<b>\$377.62</b>
							<b>N/A</b>
							<b>N/A</b>
Client Investment (Excluding Reinvestments)							
Gain/Loss on Client Investment (Including Reinvestments)							

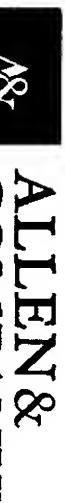


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EST. 1932

KRISTEN E. CARLSON (IRA)  
WFCS AS CUSTODIAN  
DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER:

**Mutual Funds**  
**Open End Mutual Funds continued**

DESCRIPTION	ACCOUNT	% OF QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
MFS SER TRUST IX CORPORATE BOND FUND A MFBFX									
On Reinvestment Acquired 04/26/13 L Reinvestments L		1,229,02800 126,57300	15.02 13.88	18,465.75 1,757.31		17,378.45 1,789.74	-1,087.30 32.43		
<b>Total</b>	<b>3.82</b>	<b>1,355,60100</b>	<b>\$14.92</b>	<b>\$20,223.06</b>	<b>14.1400</b>	<b>\$19,168.19</b>	<b>-\$1,054.87</b>	<b>\$592.39</b>	<b>3.09</b>
MFS SER TRR XIII MFS DIVERSIFIED INCOME FD CLA DFAX									
On Reinvestment Acquired 04/26/13 L Acquired 08/14/13 L Reinvestments L Reinvestments S		1,449,25300 598,22200 317,30400 11,29800	12.71 12.37 12.09 12.49	18,425.75 7,405.75 3,837.55 141.22		18,217.11 7,519.65 3,988.50 142.02	-208.64 113.90 150.95 0.80		
<b>Total</b>	<b>5.95</b>	<b>2,376,07700</b>	<b>\$12.55</b>	<b>\$29,810.27</b>	<b>12.5700</b>	<b>\$29,867.28</b>	<b>\$57.01</b>	<b>\$888.65</b>	<b>2.98</b>
MFS UTILITIES FUND CLASS A MMUFX									
On Reinvestment Acquired 04/26/13 L Acquired 08/14/13 L Reinvestments L Reinvestments S		836,89200 339,45000 312,88100 5,94200	22.01 21.80 19.81 20.03	18,425.75 7,405.75 6,200.72 119.02		16,880.11 6,846.70 6,310.81 110.09	-1,545.64 -559.05 0.83		
<b>Total</b>	<b>6.01</b>	<b>1,495,16500</b>	<b>\$21.50</b>	<b>\$32,151.24</b>	<b>20.1700</b>	<b>\$30,157.47</b>	<b>-\$1,993.77</b>	<b>\$1,025.68</b>	<b>3.40</b>
Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)									
<b>Total Open End Mutual Funds</b>	<b>27.95</b>					<b>\$141,346.54</b>		<b>\$140,179.21</b>	<b>-\$1,167.33</b>
								<b>\$3,530.12</b>	<b>2.52</b>



**ALLEN & COMPANY**  
 EST. 1932  
 DECEMBER 1, 2017 - DECEMBER 31, 2017  
 ACCOUNT NUMBER:   

KRISTEN E. CARLSON (IRA)  
 WFCS AS CUSTODIAN

## Mutual Funds

### Closed End Mutual Funds

Closed End Fund shares are priced at the market which may be more or less than its net asset value.

DESCRIPTION	ACCOUNT	% OF QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
BLACKROCK ENHANCED CAPITAL AND INCOME FD CII									
Acquired 04/26/13 L Reinvestments L		1,400 364.23300	12.96 14.20	18,449.75 5,172.40		22,931.99 5,966.14	4,482.24 793.74		
<b>Total</b>		<b>5.76</b>	<b>1,764.23300</b>	<b>\$13.39</b>	<b>\$23,622.15</b>	<b>16.3800</b>	<b>\$28,898.13</b>	<b>\$5,275.98</b>	<b>\$1,753.64</b>
									6.07
EATON VANCE FUNDS TAX MANAGED DIVERSIFIED EQUITY ETY									
Acquired 04/26/13 L Reinvestments L		1,750 476.50200	10.25 11.17	18,260.58 5,325.73		21,332.49 5,808.56	3,071.91 482.83		
<b>Total</b>		<b>5.41</b>	<b>2,226.50200</b>	<b>\$10.59</b>	<b>\$23,586.31</b>	<b>12.1900</b>	<b>\$27,141.05</b>	<b>\$3,554.74</b>	<b>\$2,253.22</b>
									8.30
EATON VANCE RISK MANAGED DIVERSIFIED EQUITY INCOME ETJ									
Acquired 04/26/13 L Reinvestments L		900 700 495.30600	11.14 11.15 10.96	10,208.60 7,936.25 5,429.71		8,667.00 6,741.00 4,769.79	-1,541.60 -1,195.25 -659.92		
<b>Total</b>		<b>4.02</b>	<b>2,095.30600</b>	<b>\$11.25</b>	<b>\$23,574.56</b>	<b>9.6300</b>	<b>\$20,177.79</b>	<b>-\$3,396.77</b>	<b>\$1,910.91</b>
									9.47
JOHN HANCOCK TAX ADVANTAGE GLOBAL SHAREHOLDER YIELD FUND HTY									
Acquired 04/26/13 L Acquired 04/26/13 L Acquired 04/26/13 L		740 360 200	12.64 12.68 12.63	9,512.18 4,641.52 2,569.00		6,526.80 3,175.20 1,764.00	-2,985.38 -1,466.32 -805.26		

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KRISTEN E. CARLSON (IRA)  
WFCS AS CUSTODIAN

DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER:

## **Mutual Funds**

### Closed End Funds

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KRISTEN E. CARLSON (IRA)  
WFCS AS CUSTODIAN

## Attachment 2

## Closed End Mutual Funds continued

## Closed End Mutual Funds

Acquired 04/26/13 L	800	16.99	13,827.92	11,880.00	-1,947.92
Acquired 04/26/13 L	150	17.03	2,597.36	2,227.50	-369.86
Acquired 04/26/13 L	100	17.00	1,728.57	1,485.00	-243.57
Reinvestments L	204,99300	14.20	2,911.43	3,044.14	132.71
<b>Total</b>	<b>3.72</b>	<b>1,254,99300</b>	<b>\$16.79</b>	<b>\$21,065.28</b>	<b>14,8500</b>
				<b>\$18,636.64</b>	<b>-\$2,428.64</b>
					<b>\$1,094.35</b>
					<b>5.87</b>

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## Gain/Loss on Client Investment (Including Reinvestments)

**\$482.75**

Acquired 04/26/13 L	800	16.99	13,827.92	11,880.00	-1,947.92
Acquired 04/28/13 L	150	17.03	2,597.36	2,227.50	-369.86
Acquired 04/26/13 L	100	17.00	1,728.57	1,485.00	-243.57
Reinvestments L	204,993.00	14.20	2,911.43	3,044.14	132.71
<b>Total</b>	<b>3.72</b>	<b>1,254,993.00</b>	<b>\$16.79</b>	<b>\$21,065.28</b>	<b>14,8500</b>
LIBERTY ALL-STAR EQUITY FUND SH BEN INT USA					
Client Investment (Excluding Reinvestments)					\$18,636.64
Gain/Loss on Client Investment (Including Reinvestments)					-\$2,428.64
<b>Total</b>	<b>4.08</b>	<b>3,250,232.00</b>	<b>\$5.60</b>	<b>\$18,201.92</b>	<b>6,3000</b>
Acquired 03/08/17 S	3,098	5.49	17,347.13	19,517.40	2,170.27
Reinvestments S	152,232.00	5.61	854.79	959.06	104.27
<b>Total</b>	<b>4.08</b>	<b>3,250,232.00</b>	<b>\$5.60</b>	<b>\$18,201.92</b>	<b>6,3000</b>
				<b>\$20,476.46</b>	<b>\$2,274.54</b>
					<b>\$2,210.15</b>
					<b>10.79</b>

Client Investment (Excluding Reinvestments)	\$17,347.13
Gain/Loss on Client Investment (Including Reinvestments)	\$3,129.33



KRISTEN E. CARLSON (IRA)  
WFCS AS CUSTODIAN

DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER:

### Annuities/Insurance

These positions are not held in your account and are not protected by SIPC. These positions are held directly by the issuing insurance company. Annuity and insurance information is provided directly from the insurance companies. Accuracy is not guaranteed and surrender charges may apply. IRA holders will receive a separate IRS Form 5498/FMV Statement in compliance with IRS requirements. Total premium(s) represents the gross amount of premiums made since the contract issue date and is not reduced by any withdrawals. Elected benefit rider information may not be available from all insurance companies. Verify contract and rider information prior to taking action on your contract as values may have changed. Please contact Your Financial Advisor should you have any questions about the contracts you own.

#### Variable Annuities

DESCRIPTION	ACCOUNT	% OF ACCOUNT	AS OF VALUE DATE	ESTIMATED MARKET VALUE
PROTECTIVE LIFE INS ANN INS PRODS PROTECTIVE VAR ANNUITY B SER CONTR# BAZ502639 ISSUE DATE 4/29/13	40.76		12/28/17	204,462.91
TOTAL PREMIUM(S) \$200,000.00				
Sub Funds				
OPPENHEIMER GOVERNMENT MONEY F	Units	Estimated Value	% Market Value	
LORD ABBETT BOND-DEBENTURE	1,458.7200	29,987.22	14.70	
FRANKLIN US GOVERNMENT SECURIT	999.2140	20,304.93	9.90	
PMCO REAL RETURN	9,956.24	9,956.24	4.90	
PMCO TOTAL RETURN	1,967.88100	20,171.60	9.90	
GOLDMAN SACHS GLOBAL TRENDS AL	1,800.83000	19,923.54	9.70	
PMCO GLOBAL DIVERSIFIED ALLOC	4,353.99700	52,076.51	25.50	
	4,116.63400	52,042.87	25.50	
<b>Total Variable Annuities</b>	<b>40.76</b>			<b>\$204,462.91</b>
<b>Total Annuities/Insurance</b>	<b>40.76</b>			<b>\$204,462.91</b>

### Activity detail

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01				BEGINNING BALANCE			1,366.62
12/01	Cash	DIVIDEND					47.40
12/01	Cash	DIVIDEND		MFS SER TRUST IX CORPORATE BOND FUND A 113017 1,355.60100 AS OF 11/30/17			85.16
12/01	Cash	DIVIDEND		MFS SER TR XIII MFS DIVERSIFIED INCOME FD CLA 113017 2,364.77900 AS OF 11/30/17			67.02
				MFS UTILITIES FUND CLASS A 120117 1,489.22300			1,566.20



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KRISTEN E. CARLSON (IRA)  
WFCS AS CUSTODIAN  
DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER:

**Activity detail continued**

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/18	Cash	IRS W/H DISTRIBUTION		FED TAX W/H REG DIST REGULAR DIST PARTIAL MONTHLY DISTRIBUTION TRACE# 121000242008880		-210.00	
12/18	Cash	DIVIDEND		LORD ABBET INV'T TR CONVERTIBLE FD CLA 121517 1,638.8300 AS OF 12/15/17	191.42	357.62	
12/22	Cash	LT CAP GAIN		MFS SER TR XII! MFS DIVERSIFIED INCOME FD CLA 122117 2,364.7700 AS OF 12/21/17		141.22	
12/22	Cash	LT CAP GAIN		MFS UTILITIES FUND CLASS A 122117 1,489.22300 AS OF 12/21/17	119.02		
12/22	Cash	REINVESTMENT		MFS SER TR XII! MFS DIVERSIFIED INCOME FD CLA REINVEST AT 12.500		-141.22	
12/22	Cash	REINVESTMENT		MFS UTILITIES FUND CLASS A REINVEST AT 20.030		-119.02	
12/26	Cash	DIVIDEND		CAPITAL INCOME BLDR CLA 122617 343.72300 CAPITAL INCOME BLDR CLA 122617 339.42100 CAPITAL INCOME BLDR CLA REINVEST AT 62.500	218.93	357.62	
12/26	Cash	LT CAP GAIN					
12/26	Cash	REINVESTMENT					
12/29	Cash	DIVIDEND		BLACKROCK ENHANCED CAPITAL AND INCOME FD 122917 1,764.23300 EATON VANCE FUNDS TAX-MANAGED DIVERSIFIED EQUITY 122917 2,226.50200	146.08	576.55	
12/29	Cash	DIVIDEND				187.69	

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KRISTEN E. CARLSON (IRA)  
WFCS AS CUSTODIAN

DECEMBER 1, 2017 - DECEMBER 31, 2017  
ACCOUNT NUMBER:

### Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/29	Cash	DIVIDEND		EATON VANCE RISK MANAGED DIVERSIFIED EQUITY		159.24	

### Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

12/01	TRANSFER TO	BEGINNING BALANCE	873.61
12/01	TRANSFER TO	STANDARD BANK DEPOSIT	493.01
12/04	TRANSFER TO	STANDARD BANK DEPOSIT	199.58
12/18	TRANSFER FROM	STANDARD BANK DEPOSIT	-1,400.00
		12/19 TRANSFER TO REINVEST INT	191.42
		12/27 TRANSFER TO	218.93
		12/29 STANDARD BANK DEPOSIT	0.11
		12/31 STANDARD BANK DEPOSIT	576.66
		ENDING BALANCE	

# Attachment 2B

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## SNAPSHOT

KRISTEN E. CARLSON (IRA)  
WFC\$ AS CUSTODIAN

APRIL 1, 2018 - APRIL 30, 2018  
ACCOUNT NUMBER: [REDACTED]

### Retirement summary

IRA Plan Value \$486,398.15  
A portion of these assets may not be covered by SIPC. Bank products are eligible for FDIC insurance up to \$250,000 in accordance with FDIC rules.

ACCOUNT INFORMATION	
Account Holder Birthdate:	02/13/54
Attained Age as of 12/31/18:	64.5
This beneficiary information is based upon the most recent data available and is being provided as a service to you. In the event of death, your most recent beneficiary designation on file will govern.	
PRIMARY BENEFICIARY INFORMATION	
ROBERT S. SULLIVAN	% ENTITLEMENT 100.00%
2017 DISTRIBUTION SUMMARY	
Gross Distributions	AMOUNT -\$15,400.00
2018 DISTRIBUTION SUMMARY	
Net Distributions	AMOUNT -\$4,760.00
Federal Withholding	AMOUNT -\$840.00
Gross Distributions	AMOUNT -\$5,600.00

Contact us if information on this page requires updates.

Your Financial Advisor:  
KARIN MCKNIGHT/BOB SULLIVAN  
863-688-9000



KRISTEN E. CARLSON (IRA)  
WFCS AS CUSTODIAN

APRIL 1, 2018 - APRIL 30, 2018  
ACCOUNT NUMBER:

## Portfolio detail

### Cash and Sweep Balances

**Sweep Balances** - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep Program(s) or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep Program(s) reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep Program(s). In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

**Standard Bank Deposit Sweep** - Consists of monies held at Wells Fargo Bank, N.A., which is affiliated with the Clearing Agent and (if amounts exceed \$250,000) at another bank affiliated with the Clearing Agent.

**Expanded Bank Deposit Sweep** - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more banks affiliated with the Clearing Agent or nonaffiliated banks.

Standard Bank Deposit Sweep and Expanded Bank Deposit Sweep assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep Programs for your account, please contact Your Financial Advisor.

**DESCRIPTION** **ACCOUNT** **% OF ANNUAL PERCENTAGE YIELD EARNED\*** **CURRENT MARKET VALUE** **ESTIMATED ANNUAL INCOME**

Cash		0.10	0.00	493.01	0.00
STANDARD BANK DEPOSIT		0.31	0.16	1,491.09	2.38
Interest Period 04/01/18 - 04/30/18					

### Total Cash and Sweep Balances

**0.41**

**\$1,984.10**

**\$2.38**

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

## Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

### Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
CAPITAL INCOME BLDR									
CLA									
CAIBX									
On Reinvestment									
Acquired 04/26/13 L			304,75900	60.31	18,385.75	18,514.11	128.36		
Reinvestments L			34,66200	58.09	2,013.70	2,105.72	92.02		
Reinvestments S			4,30200	62.49	268.86	261.34	-7.52		



KRISTEN E. CARLSON (IRA)  
WFCS AS CUSTODIAN

APRIL 1, 2018 - APRIL 30, 2018  
ACCOUNT NUMBER:

## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
<b>Total</b>	<b>4.29</b>	<b>343.72300</b>	<b>\$60.13</b>	<b>\$20,668.31</b>	<b>60.7500</b>	<b>\$20,881.17</b>	<b>\$212.86</b>	<b>\$687</b>	<b>3.29</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
LORD ABBET INV'T TR CONVERTIBLE FD CLA									
LACFX									
Acquired 01/30/14 L Reinvestments L		1,397.86000 240.97300	12.98 11.90	18,144.22 2,867.72		18,465.73 3,183.25	321.51 315.53		
<b>Total</b>	<b>4.45</b>	<b>1,638.83300</b>	<b>\$12.82</b>	<b>\$21,011.94</b>	<b>13.2100</b>	<b>\$21,648.98</b>	<b>\$637.04</b>	<b>\$303</b>	<b>1.40</b>
LORD ABBET VALUE OPPORTUNITIES FUND									
CLA									
LVOAX									
On Reinvestment Acquired 03/08/17 L Reinvestments S		835.74600 53.22100	19.67 19.59	16,439.13 1,042.59		16,255.25 1,035.15	-183.88 -7.44		
<b>Total</b>	<b>3.55</b>	<b>888.96700</b>	<b>\$19.67</b>	<b>\$17,481.72</b>	<b>19.4500</b>	<b>\$17,290.40</b>	<b>-\$191.32</b>	<b>N/A</b>	<b>N/A</b>
MFS SER TRUST IX CORPORATE BOND FUND A									
MFBFX									
On Reinvestment Acquired 04/26/13 L Reinvestments L		1,229.92800 126.57300	15.02 13.88	18,465.75 1,757.31		16,616.45 1,711.27	-1,849.30 -46.04		
<b>Total</b>	<b>3.77</b>	<b>1,355.60100</b>	<b>\$14.92</b>	<b>\$20,223.06</b>	<b>13.5200</b>	<b>\$18,327.72</b>	<b>-\$1,895.34</b>	<b>\$571</b>	<b>3.11</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									



KRISTEN E. CARLSON (IRA)  
WFCS AS CUSTODIAN  
APRIL 1, 2018 - APRIL 30, 2018  
ACCOUNT NUMBER:

## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	ACCOUNT	QUANTITY	ADJ PRICE/ ORG PRICE	ADJ COST/ ORG COST	CURRENT PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ANNUAL INCOME	ANNUAL YIELD (%)	
<b>MFS SER TR XII</b>										
MFS DIVERSIFIED INCOME										
FD CLA										
DIFAX										
On Reinvestment										
Acquired 04/26/13 L		1,449,25300	12.71	18,425.75	17,535.96	-889.79				
Acquired 08/14/13 L		598,22200	12.37	7,405.75	7,238.49	-167.26				
Reinvestments L		317,30400	12.09	3,837.55	3,839.38	1.83				
Reinvestments S		11,29800	12.49	141.22	136.70	4.52				
<b>Total</b>		<b>5.91</b>	<b>2,376,07700</b>	<b>\$12.55</b>	<b>\$29,810.27</b>	<b>12.1000</b>	<b>\$28,750.53</b>	<b>-\$1,059.74</b>	<b>\$941</b>	<b>3.27</b>
<b>MFS UTILITIES FUND</b>										
CLASS A										
MMUFX										
On Reinvestment										
Acquired 04/26/13 L		836,89200	22.01	18,425.75	16,821.53	-1,604.22				
Acquired 08/14/13 L		339,45000	21.80	7,405.75	6,822.94	-582.81				
Reinvestments L		312,88100	19.81	6,200.72	6,288.90	88.18				
Reinvestments S		5,94200	20.03	119.02	119.44	0.42				
<b>Total</b>		<b>6.18</b>	<b>1,495,16500</b>	<b>\$21.50</b>	<b>\$32,151.24</b>	<b>20.1000</b>	<b>\$30,052.81</b>	<b>-\$2,098.43</b>	<b>\$688</b>	<b>2.29</b>
<b>Client Investment (Excluding Reinvestments)</b>										
<b>Gain/Loss on Client Investment (including Reinvestments)</b>										
<b>Total Open End Mutual Funds</b>		<b>28.16</b>					<b>\$136,951.61</b>	<b>-\$4,394.93</b>	<b>\$3,190</b>	<b>2.33</b>
<b>Total Open End Mutual Funds</b>		<b>28.16</b>					<b>\$141,346.54</b>			



KRISTEN E. CARLSON (IRA)  
WFC\$ AS CUSTODIAN

APRIL 1, 2018 - APRIL 30, 2018  
ACCOUNT NUMBER: 1

## Mutual Funds

### Closed End Mutual Funds

Closed End Fund shares are priced at the market which may be more or less than its net asset value.

DESCRIPTION	ACCOUNT	% OF QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
BLACKROCK ENHANCED CAPITAL AND INCOME FD CII	Acquired 04/26/13 L Reinvestments L	1,400 364,23300	12.96 14.20	18,449.75 5,172.40	22,414.00 5,831.37	3,964.25 658.97			
<b>Total</b>		<b>5.81</b>	<b>1,764,23300</b>	<b>\$13.39</b>	<b>\$23,622.15</b>	<b>16.0100</b>	<b>\$28,245.37</b>	<b>\$4,623.22</b>	<b>\$1,1754</b>
EATON VANCE FUNDS TAX MANAGED DIVERSIFIED EQUITY ETY	Acquired 04/26/13 L Reinvestments L	1,750 476,50200	10.25 11.17	18,260.58 5,325.73	20,965.00 5,708.49	2,704.42 382.76			
<b>Total</b>		<b>5.48</b>	<b>2,226,50200</b>	<b>\$10.59</b>	<b>\$23,586.31</b>	<b>11.9800</b>	<b>\$26,673.49</b>	<b>\$3,087.18</b>	<b>\$2,253</b>
EATON VANCE RISK MANAGED DIVERSIFIED EQUITY INCOME ETJ	Acquired 04/26/13 L Reinvestments L	900 700 495,30600	11.14 11.15 10.96	10,208.60 7,936.25 5,429.71	8,298.00 6,454.00 4,566.72	-1,910.60 -1,482.25 -862.99			
<b>Total</b>		<b>3.97</b>	<b>2,095,30600</b>	<b>\$11.25</b>	<b>\$23,574.56</b>	<b>9.2200</b>	<b>\$19,318.72</b>	<b>-\$4,255.84</b>	<b>\$1,911</b>
JOHN HANCOCK TAX ADVANTAGE GLOBAL SHAREHOLDER YIELD FUND HTY	Acquired 04/26/13 L Acquired 04/26/13 L Acquired 04/26/13 L	740 360 200	12.64 12.68 12.63	9,512.18 4,641.52 2,569.26	6,258.10 3,044.48 1,691.38	-3,254.08 -1,597.04 -877.88			

Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)									
<b>EATON VANCE RISK MANAGED DIVERSIFIED EQUITY INCOME ETJ</b>									
Acquired 04/26/13 L Reinvestments L									
<b>Total</b>									
<b>JOHN HANCOCK TAX ADVANTAGE GLOBAL SHAREHOLDER YIELD FUND HTY</b>									
Acquired 04/26/13 L Acquired 04/26/13 L Acquired 04/26/13 L									



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COMPANY

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APRIL 1, 2018 - APRIL 30, 2018  
ACCOUNT NUMBER: **WFCAS AS CUSTODIAN (IRA)**  
KRISTEN E. CARLSSON (IRA)

## **Closed End Mutual Funds continued**

## **Closed End Mutual Funds continued**



KRISTEN E. CARLSON (IRA)  
WFC\$ AS CUSTODIAN

APRIL 1, 2018 - APRIL 30, 2018  
ACCOUNT NUMBER:

### Annuities/Insurance

These positions are not held in your account and are not protected by SIPC. These positions are held directly by the issuing insurance company. Annuity and insurance information is provided directly from the insurance companies. Accuracy is not guaranteed and surrender charges may apply. IRA holders will receive a separate IRS Form 5498F/MV Statement in compliance with IRS requirements. Total premium(s) represents the gross amount of premiums made since the contract issue date and is not reduced by any withdrawals. Elected benefit/rider information may not be available from all insurance companies. Verify contract and rider information prior to taking action on your contract as values may have changed. Please contact Your Financial Advisor should you have any questions about the contracts you own.

### Variable Annuities

DESCRIPTION	ACCOUNT	% OF	AS OF VALUE DATE	ESTIMATED MARKET VALUE
PROTECTIVE LIFE INS ANN			04/27/18	196,897.34
INS PRODS PROTECTIVE				
VAR ANNUITY B SER				
CONTR# BA2502639				
ISSUE DATE 4/29/13				
TOTAL PREMIUM(S) \$200,000.00				
Sub Funds				
OPPENHEIMER GOVERNMENT MONEY F	3,174,67900	Units	Estimated Value	% Market Value
LORD ABBETT BOND-DEBTURE	1,429,48100		29,289.33	14.90
FRANK IN US GOVERNMENT SECURIT	993,04400		19,588.68	9.90
PIMCO REAL RETURN	1,921,50800		9,697.23	4.90
PIMCO TOTAL RETURN	1,789,84500		19,472.73	9.90
GOLDMAN SACHS GLOBAL TRENDS AL	4,217,01600		19,343.18	9.80
PIMCO GLOBAL DIVERSIFIED ALLOC	3,983,42800		49,859.28	25.30
			49,646.91	25.20
<b>Total Variable Annuities</b>	<b>40.48</b>			<b>\$196,897.34</b>
<b>Total Annuities/Insurance</b>	<b>40.48</b>			<b>\$196,897.34</b>

### Activity detail

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/01				BEGINNING BALANCE			2,641.81
04/02	Cash	DIVIDEND		LORD ABBET INV TR CONVERTIBLE FD CLA 032918 1,638,83300 AS OF 3/29/18	73.75		
04/02	Cash	DIVIDEND		MFS SER TRUST IX CORPORATE BOND FUND A 032918 1,355,60100 AS OF 3/29/18	44.69		



KRISTEN E. CARLSSON (IRA)  
WFCS AS CUSTODIAN  
APRIL 1, 2018 - APRIL 30, 2018  
ACCOUNT NUMBER:

### Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/02	Cash	DIVIDEND		MFS SER TR XII MFS DIVERSIFIED INCOME FD CLA 032918 2,376.07700 AS OF 3/29/18 MFS UTILITIES FUND CLASS A		76.00	
04/16	Cash	IRS W/H DISTRIBUTION		040218 1,495.16500 FED TAX W/H REG DIST REGULAR DIST PARTIAL MONTHLY DISTRIBUTION TRACE # 1210002842028628		-210.00	
04/16	Cash			BLACKROCK ENHANCED CAPITAL AND INCOME FD 043018 1,764.23300		-1,190.00	1,490.82
04/30	Cash	DIVIDEND		EATON VANCE FUNDS TAX MANAGED DIVERSIFIED EQUITY 043018 2,226.50200		146.08	
04/30	Cash	DIVIDEND		EATON VANCE RISK MANAGED DIVERSIFIED EQUITY INCOME 043018 2,095.30600		187.69	
04/30	Cash	INTEREST		STANDARD BANK DEPOSIT 043018 1,490		0.27	1,984.10

### Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION DESCRIPTION	AMOUNT	DATE	TRANSACTION DESCRIPTION	AMOUNT
04/01	BEGINNING BALANCE	1,010.53	04/16	TRANSFER FROM STANDARD BANK DEPOSIT	-1,400.00
04/02	TRANSFER TO STANDARD BANK DEPOSIT	1,631.28	04/30	REINVEST INT STANDARD BANK DEPOSIT	0.27
04/03	TRANSFER TO STANDARD BANK DEPOSIT	249.01	04/30	ENDING BALANCE	1,491.09

**E\*TRADE**

**E\*TRADE Securities**  
Investment Account

E\*TRADE SECURITIES LLC  
P.O. Box 484  
Jersey City, NJ 07303-0484

Mail deposits to:

Make checks payable to E\*TRADE Securities LLC

Dollars	Cents

**TOTAL DEPOSIT**

Please do not send cash

LAKELAND FL 33803-2601

KRISTEN E CARLSON

185 KENWORTH CT

DETACH HERE ▼

Use this deposit slip

Acct # 6153-3631

▼

DETACH HERE

As of 10/31/17	As of 12/31/17	Net Change:
\$1,745.65	\$1,750.25	\$4.60

Account At A Glance

New year, new focus on retirement  
Make 2018 the year to get your retirement planning  
on track. E\*TRADE has the tools to make it easy.  
Visit [etrade.com/tools](http://etrade.com/tools) to get going.

etrade.com Member FINRA/SIPC  
1-800-ETRADE-1 (1-800-387-2331)  
P.O. Box 484  
Jersey City, NJ 07303-0484

November 1, 2017 - December 31, 2017  
Account Number: 6153-3631  
Account Type: INDIVIDUAL  
When to expect your 2017 tax documents  
Visit [etrade.com/taxschedule](http://etrade.com/taxschedule) to see when  
you'll receive Forms 1099, 5498, and other  
tax documents.

Customer Update:

When to expect your 2017 tax documents  
Visit [etrade.com/taxschedule](http://etrade.com/taxschedule) to see when  
you'll receive Forms 1099, 5498, and other  
tax documents.

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Attachment 13  
Page 1 of 6



# E\*TRADE®

E\*TRADE Securities  
Investment Account



Account Number:

Statement Period : November 1, 2017 - December 31, 2017

Account Type: INDIVIDUAL

Customer Update:

All your tax info in one place. Forms 1099 for 2017, FAQs, key deadlines, cost basis info, and more—find them all in our Tax Center at [etrade.com/tax](http://etrade.com/tax).

## ACCOUNT OVERVIEW

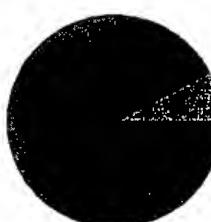
Last Statement Date: October 31, 2017

Beginning Account Value (On 10/31/17):	\$ 1,745.65
Ending Account Value (On 12/31/17):	\$ 1,750.25
Net Change:	\$ 4.60

For current rates, please visit [etrade.com/rates](http://etrade.com/rates)

## ASSET ALLOCATION (AS OF 12/31/17)

7.73% - Cash & Equivalents



## ACCOUNT VALUE SUMMARY

92.27% - Stocks, Options & ETF (Long)

Cash & Equivalents	\$ 135.25	\$ 135.25	0.00%	0.00%
Total Cash/Margin Debt	\$ 135.25	\$ 135.25	0.00%	0.00%
Stocks, Options & ETF (Long)	\$ 1,615.00	\$ 1,610.40	0.29%	0.29%
Total Value of Securities	\$ 1,615.00	\$ 1,610.40	0.29%	0.29%
Net Account Value	\$ 1,750.25	\$ 1,745.65	0.26%	0.26%

Securities products and services are offered by E\*TRADE Securities LLC, Member FINRA/SIPC.

Sweep Deposit Account is a bank deposit account with E\*TRADE Bank, a Federal savings bank. Member FDIC. Sweep deposit accounts at each bank are FDIC-insured up to a maximum of \$250,000. Securities products and cash balances other than Sweep Deposit Account funds are not FDIC-insured, are not guaranteed deposits or obligations of E\*TRADE Bank, and are subject to investment risk, including possible loss of the principal invested.

E\*TRADE Securities LLC • PO Box 484, Jersey City, NJ 07303-0484 • [www.etrade.com](http://www.etrade.com) • 1-800-ETRADE-1 (1-800-387-2331) • Member FINRA/SIPC

# E\*TRADE®

**E\*TRADE Securities**  
Investment Account

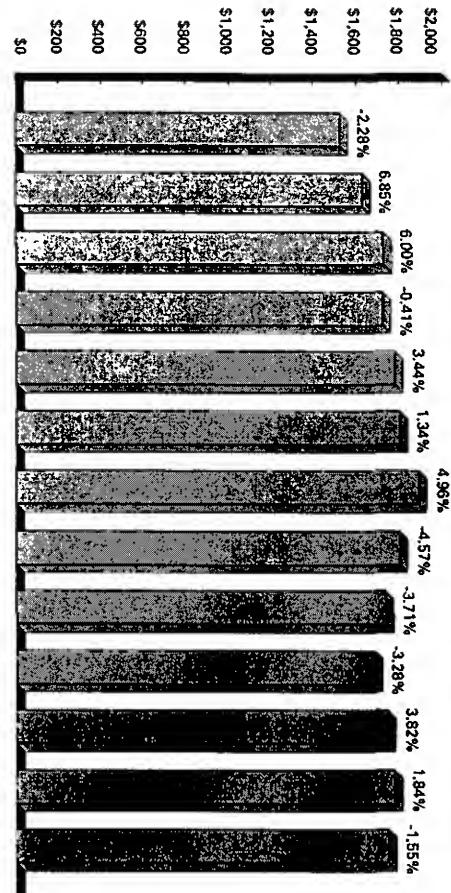


Account Number:

Statement Period: November 1, 2017 - December 31, 2017

Account Type: INDIVIDUAL

## NET ACCOUNT VALUE BY MONTH END



## ACCOUNT TRANSACTION SUMMARY

Dividends Received	\$	\$	\$
Taxable	0.00	35.60	

## TOP 10 ACCOUNT HOLDINGS (AS OF 12/31/17)

7.73% - CASH EQUV

one stock  
Medtronic

Attachment 3 page 4 of 6

# E\*TRADE\*

E\*TRADE Securities  
Investment Account



Account Number:

Statement Period : November 1, 2017 - December 31, 2017

Account Type: INDIVIDUAL

## ACCOUNT HOLDINGS

### CASH & CASH EQUIVALENTS (7.73% of Holdings)

DESCRIPTION	AMOUNT
Extended Insurance Sweep Deposit Account	
Opening Balance	135.25
Closing Balance	135.25
Average Balance	135.25
Extended Insurance Sweep Deposit Account Balance as of December 31, 2017	135.25
ETRADE BANK	

Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of at least \$1,250,000. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit [www.etrade.com/esdaagreement](http://www.etrade.com/esdaagreement) or call us at 1-800-ETRADE-1 (1-800-387-2331).

### TOTAL CASH & CASH EQUIVALENTS

### STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (92.27% of Holdings)

DESCRIPTION	AMOUNT	PERCENT	AMOUNT
**MEDI TRONIC INC	\$1,615.00	92.27%	\$37.00
COM			2.29%
<b>TOTAL STOCKS, OPTIONS &amp; ETF</b>	<b>\$1,650.25</b>		
<b>TOTAL PRICED PORTFOLIO HOLDINGS (ON 12/31/17)</b>	<b>\$1,750.25</b>		
<b>TOTAL ESTIMATED ACCOUNT HOLDINGS ANNUAL INCOME</b>	<b>\$37.00</b>		

Attachment

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EXTRADE®

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Attachment 3

Page 6 of 6

Account Number:

Statement Period : April 1, 2018 - April 30, 2018

Account Type: INDIVIDUAL

### Customer Update:

Visit the E\*TRADE Security Center at [us.etrade.com/security-center](https://us.etrade.com/security-center) to learn more about how we work to secure your account.

## ACCOUNT OVERVIEW

Last Statement Date:

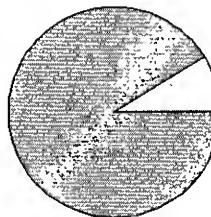
March 31, 2018

Beginning Account Value(On 03/31/18): \$ 1,748.85  
Ending Account Value(On 04/30/18): \$ 1,756.25  
Net Change: \$ 7.40

For current rates, please visit [etrade.com/rates](https://etrade.com/rates)

## ASSET ALLOCATION (AS OF 04/30/18)

8.75% - Cash & Equivalents



91.25% - Stocks, Options & ETF (Long)

## ACCOUNT VALUE SUMMARY

AS OF 04/30/18 AS OF 03/31/18 % CHANGE

	\$	153.65	\$	144.45	6.37%
Cash & Equivalents	\$	153.65	\$	144.45	6.37%
Total Cash/Margin Debt	\$	153.65	\$	144.45	6.37%
Stocks, Options & ETF (Long)	\$	1,602.60	\$	1,604.40	-0.11%
Total Value of Securities	\$	1,602.60	\$	1,604.40	-0.11%
Net Account Value	\$	1,756.25	\$	1,748.85	0.42%

Securities products and services are offered by E\*TRADE Securities LLC, Member FINRA/SIPC. Sweep Deposit Account is a bank deposit account with E\*TRADE Bank, a Federal savings bank, Member FDIC. Sweep deposit accounts at each bank are FDIC-insured up to a maximum of \$250,000. Securities products and cash balances other than Sweep Deposit Account funds are not FDIC-insured, are not guaranteed deposits or obligations of E\*TRADE Bank, and are subject to investment risk, including possible loss of the principal invested.

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## **E\*TRADE Securities** Investment Account

Account Number:

**Statement Period :** April 1, 2018 - April 30, 2018

Account Type: INDIVIDUAL

ACCOUNT HOLDINGS

અનુભૂતિ & વિશ્વાસ એન્ફોર્મેન્ટ્સ | 8/3/2019 | Page 11

DESCRIPTION	PORTFOLIO #	AMOUNT
<b>Extended Insurance Sweep Deposit Account</b>		
Opening Balance		144.45
<b>Closing Balance</b>		8.75
Average Balance		153.55
<b>Extended Insurance Sweep Deposit Account Balance by Bank as of April 30, 2018</b>		147.42
<b>TRADE BANK</b>		153.55

Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of at least \$1,250,000. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit [www.etrade.com/esdaagreement](http://www.etrade.com/esdaagreement) or call us at 1-800-ETRADE-1 (1-800-387-2331).

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (9.12% of Holdings)

## TRANSACTION HISTORY

DATE	TRANSACTION TYPE	DESCRIPTION	SYMBOL/CUSIP	AMOUNT DEBITED	AMOUNT CREDITED
04/13/18	Dividend	**MEDTRONIC PLC	MDT		
		COM			
		CASH DIV ON 2018 HS REC 03/23/18 PAY 04/13/18			
				\$9.20	
					\$9.20
		TOTAL DIVIDENDS & INTEREST ACTIVITY			
		NET DIVIDENDS & INTEREST ACTIVITY			

# E\*TRADE®

**E\*TRADE Securities**  
Investment Account



Account Number:

Statement Period : April 1, 2018 - April 30, 2018

Account Type: INDIVIDUAL

**EXTENDED INSURANCE SWEEP DEPOSIT ACCOUNT (ESDA) ACTIVITY ( 0.0100% APY/0.0100%APY Earned as of 04/30/18)**

Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC insured up to an aggregate of at least \$1,250,000. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit [www.etrade.com/esdaagreement](http://www.etrade.com/esdaagreement) or call us at 1-800-ETRADE-1 (1-800-387-2331).

DATE	TRANSACTION TYPE	DESCRIPTION	TRANSACTION AMOUNT
04/01/18		OPENING BALANCE	\$144.45
04/16/18	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	9.20
04/30/18		CLOSING BALANCE	\$153.65



## Statement Details

## Investment Option Summary - October 1, 2017 to December 31, 2017

Ending Price is as of 12/31/2017

Investment Option	Current Investment Election	Ending Price	Units/Shares	Value
<b>457(b) Salary Reduction</b>				
<b>Small-Cap Stocks</b>				
*NeuBer Genesis Tr	25.00%	57.6500	233.6924	\$13,472.37
<b>Small-Cap Stocks Total</b>	<b>25.00%</b>			<b>\$13,472.37</b>
<b>International Stocks</b>				
*Okmrk Intl I	0.00%	28.5700	683.5275	\$19,528.38
<b>International Stocks Total</b>	<b>0.00%</b>			<b>\$19,528.38</b>
<b>Mid-Cap Stocks</b>				
*JPM MdCap Val I	25.00%	39.8200	348.4376	\$13,874.79
<b>Mid-Cap Stocks Total</b>	<b>25.00%</b>			<b>\$13,874.79</b>
<b>Large-Cap Stocks</b>				
*Fid Contra	25.00%	122.4700	125.9771	\$15,428.42
*Invesco Gr Inc A	0.00%	26.9700	1,236.6703	\$33,353.00
<b>Large-Cap Stocks Total</b>	<b>25.00%</b>			<b>\$48,781.42</b>
<b>Fixed Assets/Cash</b>				
Nrs Florida Fixed Fund	25.00%			\$24,600.03
<b>Fixed Assets/Cash Total</b>	<b>25.00%</b>			<b>\$24,600.03</b>
<b>TOTAL</b>	<b>100.00%</b>			<b>\$120,256.99</b>

\* Fund is valued in shares rather than units

## Your Account Values by Investment Option - October 1, 2017 to December 31, 2017

	Beginning Balance	Contributions/ Transfers In	Exchanges	Withdrawals/ Transfers Out	Charges/ Credits/Fees	Interest/ Dividend/ Cap Gain/ Reimb	Gain/Loss	Ending Balance
<b>457(b) Salary Reduction (0050915001)</b>								
<b>Small-Cap Stocks</b>								
*NeuBer Genesis Tr	\$13,435.84	\$0.00	\$0.00	\$0.00	\$0.00	\$1,628.43	-\$1,591.90	\$13,472.37
<b>Small-Cap Stocks Total</b>	<b>\$13,435.84</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$1,628.43</b>	<b>-\$1,591.90</b>	<b>\$13,472.37</b>
<b>International Stocks</b>								
*Okmrk Intl I	\$19,075.13	\$0.00	\$0.00	\$0.00	\$0.00	\$576.63	-\$123.38	\$19,528.38
<b>International Stocks Total</b>	<b>\$19,075.13</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$576.63</b>	<b>-\$123.38</b>	<b>\$19,528.38</b>
<b>Mid-Cap Stocks</b>								
*JPM MdCap Val I	\$13,264.17	\$0.00	\$0.00	\$0.00	\$0.00	\$341.66	\$268.96	\$13,874.79
<b>Mid-Cap Stocks Total</b>	<b>\$13,264.17</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$341.66</b>	<b>\$268.96</b>	<b>\$13,874.79</b>
<b>Large-Cap Stocks</b>								
*Fid Contra	\$14,453.25	\$0.00	\$0.00	\$0.00	\$0.00	\$820.09	\$155.08	\$15,428.42
*Invesco Gr Inc A	\$31,858.34	\$0.00	\$0.00	\$0.00	\$0.00	\$3,141.41	-\$1,646.75	\$33,353.00
<b>Large-Cap Stocks Total</b>	<b>\$46,311.59</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$3,961.50</b>	<b>-\$1,491.67</b>	<b>\$48,781.42</b>
<b>Fixed Assets/Cash</b>								
Nrs Florida Fixed Fund	\$26,184.07	\$0.00	\$0.00	-\$1,805.40	\$0.00	\$0.00	\$221.36	\$24,600.03
<b>Fixed Assets/Cash Total</b>	<b>\$26,184.07</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>-\$1,805.40</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$221.36</b>	<b>\$24,600.03</b>
<b>TOTAL</b>	<b>\$118,270.80</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>-\$1,805.40</b>	<b>\$0.00</b>	<b>\$6,508.22</b>	<b>-\$2,716.63</b>	<b>\$120,256.99</b>

\* Fund is valued in shares rather than units

Gain/Loss is the difference between the account balance on the first and last day of the period, after allowing for the effects of transactions.

## Transaction Details - October 1, 2017 to December 31, 2017

Pay Period End Date	Effective Date	Total Amount	Transaction Type	Money Source	Investment Option	Price	Units/Shares	Amount Per Fund
10/23/2017		\$601.80	Withdrawal	457(b) Salary Reduction	Nrs Florida Fixed Fund			-\$601.80



STATE OF FLORIDA DEFERRED COMPENSATION PLAN  
PO BOX 182797  
COLUMBUS OH 43218-2797



Attachment 4B

Acct#:  
January 1, 2018 - March 31, 2018

KRISTEN E CARLSON

LAKELAND FL 33803-2601

Please review your statement carefully. Corrections may not be accepted more than 45 days after the closing date of the statement.

Questions? Contact Us!

CUSTOMER SERVICE: (800)949-4457  
(ESPAÑOL): (800)949-4457  
TTY: (800)949-4457  
Website: [www.nrsflorida.com](http://www.nrsflorida.com)  
Write us at: NATIONWIDE RETIREMENT  
SOLUTIONS  
PO BOX 182797  
COLUMBUS OH 43218-2797

Nationwide Investment Services Corporation, member FINRA, In Michigan Only:  
Nationwide Investment Svcs. Corporation

Activity at a Glance

Balance as of 01-01-18  
Contributions/Transfers In\*  
Interest/Dividend/Cap Gain/Reimb  
Gain/Loss  
Withdrawals/Transfers Out\*\*  
Charges/Fees  
Balance as of 03-31-18

457(b) Plan	\$120,256.99
	\$0.00
	\$339.06
	-\$549.85
	-\$1,841.52
	\$0.00
	<b>\$118,204.68</b>

\*Including, but not limited to, Employee and Employer Contributions, Rollovers and Transfers In.

\*\*Including, but not limited to, Rollovers and Transfers Out, Partial and Lump Sum Payments.

Total Gain Loss Since Joining

Plan Type	Contributions Since Joining/ Transfers In/Loan Payment	Withdrawals/Transfers Out/Loan Disbursement	Gain/Loss/Fees	Total Balance as of 03-31-18
457(b) Plan	\$55,653.00	-\$15,063.12	\$77,614.80	<b>\$118,204.68</b>

"Since Joining" refers to the period of time your account has been administered by Nationwide. If applicable, the above data is inclusive of Self Directed Option balance. For more information about asset fees, contact your customer service center.

Contributions and Distributions Summary - January 1, 2018 to March 31, 2018

	Last Contribution Amount	Contributions This Period	Contributions Year to Date	Last Distribution Amount	Distributions Year to Date
457(b) Plan		\$0.00	\$0.00	-\$613.84	-\$1,841.52

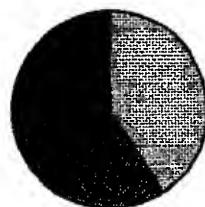
Your Asset Allocation - January 1, 2018 to March 31, 2018

To the right is your Account Allocation. Your Account Allocation is how the money in your account is divided among the investment options you have selected.

For more information on contribution and account allocation, log on to [WWW.NRSFLORIDA.COM](http://WWW.NRSFLORIDA.COM)

\*Percentages and totals may not be exact due to rounding.

Your Account Allocation



Investment Type

41% Large-Cap Stocks	\$48,336.85
19% Fixed Assets/Cash	\$22,981.62
16% International Stocks	\$18,988.39
12% Small-Cap Stocks	\$14,286.94
12% Mid-Cap Stocks	\$13,630.88
<b>100% Total Percent/Value</b>	<b>\$118,204.68</b>

## Statement Details

### Investment Option Summary - January 1, 2018 to March 31, 2018

Retina Price is as of 3/31/2018

Investment Option	Current Investment Election	Ending Price	Units/Shares	Value
<b>457(b) Salary Reduction</b>				
Small-Cap Stocks				
*Neuber Genesis Tr	25.00%	58.0200	246.2417	\$14,286.91
Small-Cap Stocks Total	25.00%			\$14,286.94
International Stocks				
*Oklmk Intl I	0.00%	27.7800	683.5275	\$18,988.39
International Stocks Total	0.00%			\$18,988.39
Mid-Cap Stocks				
*JPM MdCap Val I	25.00%	39.1200	348.4376	\$13,630.88
Mid-Cap Stocks Total	25.00%			\$13,630.88
Large-Cap Stocks				
*Fid Contra	25.00%	124.2700	127.8932	\$15,893.29
*Invaco Gr Inc A	0.00%	26.1500	1,240.6716	\$32,443.56
Large-Cap Stocks Total	25.00%			\$48,336.85
Fixed Assets/Cash				
Nrs Florida Fixed Fund	25.00%			\$22,961.62
Fixed Assets/Cash Total	25.00%			\$22,961.62
<b>TOTAL</b>	<b>100.00%</b>			<b>\$118,204.86</b>

\* Fund is valued in shares rather than units

### Your Account Values by Investment Option - January 1, 2018 to March 31, 2018

	Beginning Balance	Contributions/ Transfers in	Exchanges	Withdrawals/ Transfers Out	Charges/ Credits/Fees	Interest/ Dividend/ Cap Gain/ Reimb	Gain/Loss	Ending Balance
<b>457(b) Salary Reduction (9850915001)</b>								
Small-Cap Stocks								
*Neuber Genesis Tr	\$13,472.37	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$14,286.94
Small-Cap Stocks Total	\$13,472.37	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$14,286.94
International Stocks								
*Oklmk Intl I	\$19,528.38	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	-\$530.00	\$18,988.39
International Stocks Total	\$19,528.38	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	-\$530.00	\$18,988.39
Mid-Cap Stocks								
*JPM MdCap Val I	\$13,874.79	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	-\$243.91	\$13,630.88
Mid-Cap Stocks Total	\$13,874.79	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	-\$243.91	\$13,630.88
Large-Cap Stocks								
*Fid Contra	\$15,428.42	\$0.00	\$0.00	\$0.00	\$0.00	\$234.07	\$220.80	\$15,893.29
*Invaco Gr Inc A	\$33,353.00	\$0.00	\$0.00	\$0.00	\$0.00	\$104.99	-\$1,014.43	\$32,443.56
Large-Cap Stocks Total	\$48,781.42	\$0.00	\$0.00	\$0.00	\$0.00	\$339.06	-\$783.83	\$48,336.85
Fixed Assets/Cash								
Nrs Florida Fixed Fund	\$24,600.03	\$0.00	\$0.00	-\$1,841.52	\$0.00	\$0.00	\$203.11	\$22,961.62
Fixed Assets/Cash Total	\$24,600.03	\$0.00	\$0.00	-\$1,841.52	\$0.00	\$0.00	\$203.11	\$22,961.62
<b>TOTAL</b>	<b>\$118,204.86</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>-\$1,841.52</b>	<b>\$0.00</b>	<b>\$339.06</b>	<b>-\$548.85</b>	<b>\$118,204.86</b>

\* Fund is valued in shares rather than units

Gain/Loss is the difference between the account balance on the first and last day of the period, after allowing for the effects of transactions.

### Transaction Details - January 1, 2018 to March 31, 2018

Pay Period End Date	Effective Date	Total Amount	Transaction Type	Money Source	Investment Option	Price	Units/Shares	Amount Per Fund
1/23/2018		-\$613.84	Withdrawal	457(b) Salary Reduction	Nrs Florida Fixed Fund			-\$613.84

## Transaction Details (continued)

Pay Period <u>End Date</u>	Effective <u>Date</u>	Total <u>Amount</u>	Transaction <u>Type</u>	Money <u>Source</u>	Investment <u>Option</u>	Price	Units/Shares	Amount Per <u>Fund</u>
	2/9/2018	\$234.07	Capital Gain	457(b) Salary Reduction	Fid Contra	122.1600	1.9161	\$234.07
2/23/2018	-\$613.84	Withdrawal	457(b) Salary Reduction	Nrs Florida Fixed Fund				-\$613.84
3/22/2018	\$104.99	Dividend	457(b) Salary Reduction	Invaco Gr Inc A		26.2400	4.0013	\$104.99
3/23/2018	-\$613.84	Withdrawal	457(b) Salary Reduction	Nrs Florida Fixed Fund				-\$613.84
3/28/2018	\$716.69	Unit Adjustment	457(b) Salary Reduction	NeuBer Genesis Tr		57.1100	12.5493	\$716.69

## Fixed Account/Cash

## 457(b) Plan

<u>Investment</u>	<u>Yield</u>
NW BANK LIQUID SAVINGS	2.11%
Nationwide Bank 1-Year CD 2nd Qtr 2018	2.39%
Nationwide Bank 3-Year CD 2nd Qtr 2018	2.78%
Nationwide Bank 5-Year CD 2nd Qtr 2018	2.98%

## IMPORTANT DISCLOSURES

1st Qtr. Yield = 3.50%; 2nd Qtr. Yield = 3.50%; Floor through 2018 = 3.50% All bi-weekly deferrals applied to accounts effective March 29th will be displayed on the next quarterly statement. You may also check the website [www.nrsflorida.com](http://www.nrsflorida.com) to confirm your up-to-date transaction history information.



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## Quarterly Statement

KRISTEN ELIZABETH CARLSON  
[REDACTED]  
[REDACTED] 601

Your Representative  
KARIN DAY MCKNIGHT  
ALLEN & COMPANY OF FLORIDA INC  
1401 S FLORIDA AVE  
LAKELAND FL 33803-2291

### Contract Information

#### Masters Choice Variable Annuity

As of December 31, 2017

Annuitant(s):	KRISTEN ELIZABETH CARLSON	Owner(s):	KRISTEN ELIZABETH CARLSON
Death Benefit :	\$194,248.63	Contract Number:	
Cash Surrender Value:	\$194,248.63	Contract Type:	IRA-SEP
		Contract Issue Date:	February 17, 2010

---

### Contract Summary

October 1, 2017 through December 31, 2017

Beginning Account Value	\$191,130.35
Purchase Payments	\$0.00
Payment Interest (PMI)	\$0.00
Earnings (Gain/Loss)	\$3,766.43
Fees <sup>1</sup>	-\$648.15
Withdrawals <sup>2</sup>	\$0.00
Ending Account Value	\$194,248.63

<sup>1</sup>Fees reflect the combined total of any applicable Annual Account Fees and Optional Benefit Fees.

<sup>2</sup>Withdrawals include any applicable surrender charges and annual charge. This may not be the amount you received.

Please read this statement carefully. Any errors must be reported within 30 days.





Contract Number:

Quarterly Statement

**Contract Portfolio Summary**

**As of December 31, 2017**

Account	Units	Unit Value	Amount	Percent of Total*
BlackRock Global Alloc V.I. Fund CL 3	1,137.342389	\$17.092108	\$19,439.58	10.01%
Fidelity VIP Mid Cap Port Serv CL 2	449.241652	\$21.662046	\$9,731.49	5.01%
First Eagle Overseas Variable Fund	612.130608	\$16.018602	\$9,805.48	5.05%
Franklin Small Cap Value VIP Fund CL 2	241.052490	\$40.053279	\$9,654.94	4.97%
MFS Global Tactical Allocation Port S CL	2,875.513781	\$13.503446	\$38,829.35	19.99%
MFS VIT I Value Series Service Class	508.771485	\$19.054920	\$9,694.60	4.99%
MFS VIT Total Return Bond Series SC	5,233.539001	\$11.144601	\$58,325.70	30.03%
PIMCO VIT Global Multi Assets Man Alloc	2,988.703333	\$12.971342	\$38,767.49	19.96%
<b>Total:</b>			<b>\$194,248.63</b>	<b>100%</b>

\*Percent may not be exact due to rounding.

**Contract Transaction History**

**October 1, 2017 through December 31, 2017**

Date	Description	Investment Option	# of Units	Unit Price	Transaction Amount
11/17/2017	Optional Benefit Fee	Franklin Small Cap Value VIP Fund CL 2	(0.875518)	\$38.879820	(\$34.04)
		BlackRock Global Alloc V.I. Fund CL 3	(3.840536)	\$16.802340	(\$64.53)
		PIMCO VIT Global Multi Assets Man Alloc	(10.211690)	\$12.750092	(\$130.20)
		MFS VIT I Value Series Service Class	(1.778556)	\$18.239512	(\$32.44)
		Fidelity VIP Mid Cap Port Serv CL 2	(1.600135)	\$20.723248	(\$33.16)
		MFS VIT Total Return Bond Series SC	(17.329739)	\$11.119035	(\$192.69)
		MFS Global Tactical Allocation Port S CL	(9.636986)	\$13.353759	(\$128.69)
		First Eagle Overseas Variable Fund	(2.040248)	\$15.880414	(\$32.40)
		<b>Total</b>			<b>(\$648.15)</b>



Contract Number:

Quarterly Statement

### Contract Transaction History (Continued)

October 1, 2017 through December 31, 2017

Date	Description	Investment Option	# of Units	Unit Price	Transaction Amount
12/18/2017	Rebalance From	MFS VIT I Value Series Service Class	(16.468745)	\$19.099816	(\$314.55)
		Fidelity VIP Mid Cap Port Serv CL 2	(23.397244)	\$21.630752	(\$506.10)
		Franklin Small Cap Value VIP Fund CL 2	(17.539968)	\$40.312500	(\$707.08)
		PIMCO VIT Global Multi Assets Man Alloc	(27.318330)	\$13.005553	(\$355.29)
		Total			(\$1,883.02)
12/18/2017	Rebalance To	BlackRock Global Alloc V.I. Fund CL 3	3.096331	\$17.087966	\$52.91
		First Eagle Overseas Variable Fund	9.462810	\$15.874776	\$150.22
		MFS Global Tactical Allocation Port S CL	29.273175	\$13.517495	\$395.70
		MFS VIT Total Return Bond Series SC	115.271470	\$11.140570	\$1,284.19
		Total			\$1,883.02

### Contract Living Benefit Summary

For complete information regarding the features, benefits, fees and restrictions that apply to your living benefit rider, please refer to your contract and prospectus. Please contact our customer service center if you have additional questions or need further assistance.

Income Riser® (SIR)



Contract Number:

Quarterly Statement

### Contract Death Benefit Summary

The value(s) below may be subject to certain age restrictions and market fluctuations. In addition, any withdrawals will reduce the death benefit value.

Your death benefit value is based on the greatest of the following amounts:

Account Value	\$194,248.63
Adjusted Purchase Payments	\$149,717.90
Cash Surrender Value	\$194,248.63

Death Benefit Value	\$194,248.63
---------------------	--------------

### Additional Information

Once per year, we will provide you with a breakdown of the Surrender Value which is the amount you would receive if you were to surrender this annuity.

As of the date of this statement, the Surrender Value is calculated as follows:

Account Value	\$ 194,248.63
Surrender Charges	\$ 0.00
Account Fee	\$ 0.00
Market Value Adjustment	\$0.00
Surrender Value	\$ 194,248.63

For a secure way of accessing your Contract, and tracking your investment you can log on to [www.delawarelife.com](http://www.delawarelife.com). Our website offers on-line access to your Contract 24 hours a day. Manage your Contract, review current values, and download forms at your fingertips.

If you have any questions regarding this statement, please contact our Service Center at 1-877-253-2323. Our service representatives are available to assist you from 7:30 AM to 5:00 PM Central Time.

Issued by Delaware Life Insurance Company. Delaware Life Insurance Company provides this confirmation on behalf of the broker/dealer identified herein, which is acting as an agent of Delaware Life Insurance Company.

Attachment 5B

## Quarterly Statement

KRISTEN ELIZABETH CARLSON  
LAKELAND FL 33803-2601

### Your Representative

KARIN DAY MCKNIGHT  
ALLEN & COMPANY OF FLORIDA INC  
1401 S FLORIDA AVE  
LAKELAND FL 33803-2291

### Contract Information

#### Masters Choice Variable Annuity

As of March 31, 2018

Annuitant(s):	KRISTEN ELIZABETH CARLSON	Owner(s):	KRISTEN ELIZABETH CARLSON
Death Benefit :	\$190,652.15	Contract Number:	
Cash Surrender Value:	\$190,652.15	Contract Type:	IRA-SEP
		Contract Issue Date:	February 17, 2010

### Contract Summary

January 1, 2018 through March 31, 2018

Beginning Account Value	\$194,248.63
Purchase Payments	\$0.00
Payment Interest (PMI)	\$0.00
Earnings (Gain/Loss)	-\$2,948.33
Fees <sup>1</sup>	-\$648.15
Withdrawals <sup>2</sup>	\$0.00
Ending Account Value	\$190,652.15

<sup>1</sup> Fees reflect the combined total of any applicable Annual Account Fees and Optional Benefit Fees.

<sup>2</sup> Withdrawals include any applicable surrender charges and annual charge. This may not be the amount you received.

Please read this statement carefully. Any errors must be reported within 30 days.



Contract Number:

Quarterly Statement

**Contract Transaction History (Continued)**

**January 1, 2018 through March 31, 2018**

Date	Description	Investment Option	# of Units	Unit Price	Transaction Amount
		MFS Global Tactical Allocation Port S CL	(15.187932)	\$13.498874	(\$205.02)
		MFS VIT I Value Series Service Class	(1.732900)	\$19.037442	(\$32.99)
		Franklin Small Cap Value VIP Fund CL 2	(1.060634)	\$40.221210	(\$42.66)
		Total			(\$1,032.03)
03/18/2018	Rebalance To	MFS VIT Total Return Bond Series SC	88.429482	\$10.881778	\$962.27
		PIMCO VIT Global Multi Assets Man Alloc	5.409686	\$12.895386	\$69.76
		Total			\$1,032.03



Contract Number:

**Quarterly Statement**

**Contract Portfolio Summary**

**As of March 31, 2018**

<b>Account</b>	<b>Units</b>	<b>Unit Value</b>	<b>Amount</b>	<b>Percent of Total*</b>
BlackRock Global Alloc V.I. Fund CL 3	1,117.622665	\$16.954722	\$18,948.98	9.94%
Fidelity VIP Mid Cap Port Serv CL 2	429.160658	\$21.652468	\$9,292.39	4.87%
First Eagle Overseas Variable Fund	606.225447	\$15.792297	\$9,573.69	5.02%
Franklin Small Cap Value VIP Fund CL 2	239.183612	\$39.133239	\$9,300.03	4.91%
MFS Global Tactical Allocation Port S CL	2,850.683682	\$13.441381	\$38,317.13	20.10%
MFS VIT I Value Series Service Class	505.332835	\$18.381341	\$9,288.70	4.87%
MFS VIT Total Return Bond Series SC	5,304.420732	\$10.919279	\$57,920.45	30.38%
PIMCO VIT Global Multi Assets Man Alloc	2,984.091867	\$12.717699	\$37,950.78	19.91%
<b>Total:</b>			<b>\$190,652.15</b>	<b>100%</b>

\*Percent may not be exact due to rounding.

**Contract Transaction History**

**January 1, 2018 through March 31, 2018**

<b>Date</b>	<b>Description</b>	<b>Investment Option</b>	<b># of Units</b>	<b>Unit Price</b>	<b>Transaction Amount</b>
02/17/2018	Optional Benefit Fee	MFS Global Tactical Allocation Port S CL	(9.642166)	\$13.538451	(\$130.54)
		Fidelity VIP Mid Cap Port Serv CL 2	(1.506222)	\$22.194589	(\$33.43)
		Franklin Small Cap Value VIP Fund CL 2	(0.808243)	\$39.233209	(\$31.71)
		MFS VIT Total Return Bond Series SC	(17.547751)	\$10.910230	(\$191.45)
		PIMCO VIT Global Multi Assets Man Alloc	(10.021152)	\$12.927654	(\$129.55)
		First Eagle Overseas Variable Fund	(2.052387)	\$15.937533	(\$32.71)
		MFS VIT I Value Series Service Class	(1.705748)	\$19.270133	(\$32.87)
		BlackRock Global Alloc V.I. Fund CL 3	(3.813196)	\$17.279467	(\$65.89)
		<b>Total</b>			<b>(\$648.15)</b>
03/18/2018	Rebalance From	First Eagle Overseas Variable Fund	(3.852772)	\$15.869089	(\$61.14)
		BlackRock Global Alloc V.I. Fund CL 3	(15.906528)	\$17.215572	(\$273.84)
		Fidelity VIP Mid Cap Port Serv CL 2	(18.574771)	\$22.416426	(\$416.38)



Attachment 6

Page 1 of 4  
QUARTERLY STATEMENT  
OCTOBER 1, 2017 - DECEMBER 31, 2017

**ADDRESS SERVICE REQUESTED**

AB 01 079022 44928 B 216 A

[REDACTED]

KRISTEN E. CARLSON

[REDACTED]

**Your Financial Representative:**

000Q0P1930  
KARIN D MCKNIGHT  
ALLEN & CO. OF FLORI  
1401 S FLORIDA AVE  
LAKELAND FL 33803

07882212

**Protective Variable Annuity B Series**

A Variable Annuity

**Transaction Summary**

	Current Quarter	Since Inception
<b>Beginning Contract Value</b>	<b>\$203,763.36</b>	
Purchase Payments	\$0.00	\$200,000.00
Variable Account Performance	\$4,291.34	\$37,436.73
Contract Charges and Fees	\$492.14	
Withdrawals	\$3,057.51	
<b>Ending Contract Value</b>	<b>\$204,505.05</b>	<b>\$20,383.40</b>

**Death Benefit as of Ending Date: \$204,505.05**

Contract Surrender Value as of Ending Date: \$196,820.33

*A surrender charge equal to \$7,684.72 would have been deducted from the contract value if the contract had been surrendered as of the Ending Date. These charges have not been applied to the contract.*

Your contract value will be rebalanced to the Payment Allocation QUARTERLY on the 1st day of the month.

**Account Information**

Contract Number: 1  
Owner 1: KRISTEN E. CARLSON  
Annuitant: KRISTEN E. CARLSON  
Plan Type: IRA  
Inception Date: 04/29/2013

**Optional Benefits and Features:**

Portfolio Rebalancing  
Partial Automatic Withdrawals  
SecurePay Benefit

**SecurePay Lifetime Guaranteed Withdrawal Benefit**

Your SecurePay Withdrawal Benefit guarantees lifetime annual withdrawals, even if the contract value falls to zero as a result of poor market performance. And, your benefit base can still increase on any contract anniversary the SecurePay Anniversary Value exceeds the current benefit base.

Withdrawals in excess of the guaranteed withdrawal amount, called 'excess withdrawals', will result in a permanent reduction in future guaranteed withdrawal amounts. If you would like to make an excess withdrawal and are uncertain how an excess withdrawal will reduce your future guaranteed withdrawal amounts, then you may contact us prior to requesting the withdrawal to obtain a personalized, transaction-specific calculation showing the effect of the excess withdrawal.

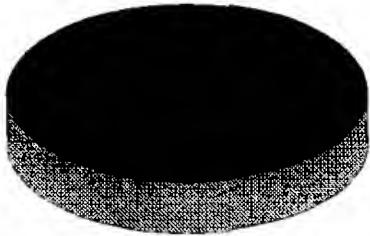
SecurePay Lifetime Withdrawal Benefit	SecurePay 6
SecurePay Option:	
SecurePay Benefit Base on the Ending Date:	\$244,602.49
Next SecurePay Benefit Base Review Date:	04/29/2018
SecurePay Annual Withdrawal Amount for this Contract Year:	\$12,230.12
<p><i>The SecurePay Annual Withdrawal Amount is the amount that was available as of the most recent Contract Anniversary, and does not include SecurePay withdrawals you have received this contract year, if any.</i></p>	

**Current Contract Allocation by Risk Category**

Your contract value allocation by risk category as of the ending date, rounded to the nearest whole percent\*. A detailed summary of your current holdings is shown below. Information on the contract's funds can be viewed in the Fund Prospectuses at [www.myaccount.protective.com](http://www.myaccount.protective.com) or by requesting a paper copy from us.

**Conservative**

\$204,505.05 100%



**Conservative**

\* Total may not equal 100% due to rounding

**Current Contract Allocation Detail**

Fund Number	Payment Allocation	Fund Name	Current Allocation	Number of Units	Interest Rate/Unit Value	Value
69006	15.00%	Oppenheimer Government Money Fund	14.64%	3,243.0670	9.234851	\$29,949.24
69037	10.00%	Lord Abbett Bond-Debenture	9.92%	1,456.9680	13.928420	\$20,293.26
69057	5.00%	Franklin US Government Securities	4.86%	998.0130	9.962287	\$9,942.49
69080	10.00%	PIMCO Real Return	9.87%	1,965.5150	10.265143	\$20,176.29
69082	10.00%	PIMCO Total Return	9.73%	1,798.6660	11.063945	\$19,900.34
69091	25.00%	Goldman Sachs Global Trends Alloc	25.49%	4,348.7650	11.987256	\$52,129.76
69099	25.00%	PIMCO Global Diversified Allocation	25.49%	4,111.6850	12.674530	\$52,113.67
<b>100.00% Total</b>			<b>100.00%</b>			<b>\$204,505.05</b>

**Quarterly Transaction Detail**

Date	Fund Number	Fund Name	Transaction	Number of Units	Unit Value	Dollar Amount
09/30/2017	69006	Oppenheimer Government Money Fund	SecurePay Fee	3.9080	9.250648	\$36.15
	69037	Lord Abbett Bond-Debenture	SecurePay Fee	1.8000	13.727332	\$24.71
	69057	Franklin US Government Securities	SecurePay Fee	1.2110	10.020016	\$12.13
	69080	PIMCO Real Return	SecurePay Fee	2.3840	10.175584	\$24.26
	69082	PIMCO Total Return	SecurePay Fee	2.2000	11.119490	\$24.46
	69091	Goldman Sachs Global Trends Alloc	SecurePay Fee	5.3720	11.497682	\$61.76
	69099	PIMCO Global Diversified Allocation	SecurePay Fee	5.1480	12.160658	\$62.60
10/01/2017	69006	Oppenheimer Government Money Fund	Port Rebal to	3,304.0390	9.250648	\$30,564.50
	69037	Lord Abbett Bond-Debenture	Port Rebal to	1,484.3630	13.727332	\$20,376.34
	69057	Franklin US Government Securities	Port Rebal to	1,016.7820	10.020016	\$10,188.17
	69080	PIMCO Real Return	Port Rebal to	2,002.4740	10.175584	\$20,376.34
	69082	PIMCO Total Return	Port Rebal to	1,832.4890	11.119490	\$20,376.34
	69091	Goldman Sachs Global Trends Alloc	Port Rebal to	4,430.5310	11.497682	\$50,940.84
	69099	PIMCO Global Diversified Allocation	Port Rebal to	4,188.9860	12.160658	\$50,940.83
	69006	Oppenheimer Government Money Fund	Port Rebal from	3,235.2470	9.250648	\$29,928.13
	69037	Lord Abbett Bond-Debenture	Port Rebal from	1,489.8400	13.727332	\$20,451.53
	69057	Franklin US Government Securities	Port Rebal from	1,002.5770	10.020016	\$10,045.84
	69080	PIMCO Real Return	Port Rebal from	1,974.9720	10.175584	\$20,096.49
	69082	PIMCO Total Return	Port Rebal from	1,822.0660	11.119490	\$20,260.44
10/02/2017	69091	Goldman Sachs Global Trends Alloc	Port Rebal from	4,448.3490	11.497682	\$51,145.70
	69099	PIMCO Global Diversified Allocation	Port Rebal from	4,262.5350	12.160658	\$51,835.23
	69006	Oppenheimer Government Money Fund	SecurePay Withdrawal	16.5260	9.250648	\$152.88
	69037	Lord Abbett Bond-Debenture	SecurePay Withdrawal	7.4250	13.727332	\$101.92
	69057	Franklin US Government Securities	SecurePay Withdrawal	5.0860	10.020016	\$50.96
10/03/2017	69080	PIMCO Real Return	SecurePay Withdrawal	10.0160	10.175584	\$101.92
	69082	PIMCO Total Return	SecurePay Withdrawal	9.1660	11.119490	\$101.92
	69091	Goldman Sachs Global Trends Alloc	SecurePay Withdrawal	22.1600	11.497682	\$254.79

continued on next page

Date	Fund Number	Fund Name	Transaction	Number of Units	Unit Value	Dollar Amount
10/30/2017	69099	PIMCO Global Diversified Allocation	SecurePay Withdrawal	20.9510	12.160658	\$254.78
	69006	Oppenheimer Government Money Fund	SecurePay Fee	3.9610	9.245354	\$36.62
	69037	Lord Abbett Bond-Debenture	SecurePay Fee	1.7790	13.831154	\$24.61
	69057	Franklin US Government Securities	SecurePay Fee	1.2180	10.001698	\$12.18
	69080	PIMCO Real Return	SecurePay Fee	2.4010	10.208398	\$24.51
	69082	PIMCO Total Return	SecurePay Fee	2.1960	11.094348	\$24.36
	69091	Goldman Sachs Global Trends Alloc	SecurePay Fee	5.3110	11.673079	\$61.99
11/02/2017	69099	PIMCO Global Diversified Allocation	SecurePay Fee	5.0210	12.308621	\$61.80
	69006	Oppenheimer Government Money Fund	SecurePay Withdrawal	16.3590	9.244802	\$151.24
	69037	Lord Abbett Bond-Debenture	SecurePay Withdrawal	7.3490	13.840355	\$101.71
	69057	Franklin US Government Securities	SecurePay Withdrawal	5.0350	10.000623	\$50.35
	69080	PIMCO Real Return	SecurePay Withdrawal	9.9170	10.236173	\$101.51
	69082	PIMCO Total Return	SecurePay Withdrawal	9.0770	11.105052	\$100.80
	69091	Goldman Sachs Global Trends Alloc	SecurePay Withdrawal	21.9340	11.727897	\$257.24
11/30/2017	69099	PIMCO Global Diversified Allocation	SecurePay Withdrawal	20.7300	12.364491	\$256.32
	69006	Oppenheimer Government Money Fund	SecurePay Fee	3.9330	9.239732	\$36.34
	69037	Lord Abbett Bond-Debenture	SecurePay Fee	1.7660	13.847837	\$24.46
	69057	Franklin US Government Securities	SecurePay Fee	1.2100	9.965808	\$12.06
	69080	PIMCO Real Return	SecurePay Fee	2.3840	10.209475	\$24.34
	69082	PIMCO Total Return	SecurePay Fee	2.1800	11.039578	\$24.07
	69091	Goldman Sachs Global Trends Alloc	SecurePay Fee	5.2740	11.837509	\$62.43
12/02/2017	69099	PIMCO Global Diversified Allocation	SecurePay Fee	4.9850	12.512072	\$62.37
	69006	Oppenheimer Government Money Fund	SecurePay Withdrawal	16.2930	9.239016	\$150.53
	69037	Lord Abbett Bond-Debenture	SecurePay Withdrawal	7.3230	13.835176	\$101.31
	69057	Franklin US Government Securities	SecurePay Withdrawal	5.0190	9.989169	\$50.14
	69080	PIMCO Real Return	SecurePay Withdrawal	9.8750	10.259234	\$101.31
	69082	PIMCO Total Return	SecurePay Withdrawal	9.0400	11.060223	\$99.98
	69091	Goldman Sachs Global Trends Alloc	SecurePay Withdrawal	21.8550	11.798475	\$257.85
	69099	PIMCO Global Diversified Allocation	SecurePay Withdrawal	20.6650	12.487429	\$258.05

### Important Information

Please review this statement carefully and contact us or your financial advisor promptly if you have questions, or need to advise us of any error in the information presented. Within thirty (30) days of receiving this Statement, you should review it and advise Protective in writing of any omission or inaccuracy in the transactions or positions reported. Except for any omission or inaccuracy resulting from Protective's failure to comply with our obligations under your Contract(s) with us, or that would not be readily apparent from a reasonable review of your Statement, if you do not advise us in writing of any errors, omissions or inaccuracies within the thirty (30) day period, we will presume that your Statement is correct, absent manifest errors or omissions. We will not process corrections more than thirty (30) days after you receive your Statement.

If you had a distribution during 2017, 1099-R forms will be available online beginning in February 2018 for most contract holders.

To access, go to [www.myaccount.protective.com](http://www.myaccount.protective.com).

At Protective Life Insurance Company, we strive to provide our customers with high quality products and services.

For further information about your annuity contract, please visit [www.myaccount.protective.com](http://www.myaccount.protective.com) or call 800-456-6330 Monday through Thursday 7:30 a.m. through 6:00 p.m. Central Time and Friday 7:30 a.m. through 5:00 p.m. Central Time.

Annuities are not deposits or obligations of, or guaranteed by, any bank or financial institution. Annuities are not insured by the FDIC or any other agency and are subject to risk, including the loss of principal.

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Life Insurance Company

LIFE AND ANNUITY DIVISION  
PO BOX 1928  
BIRMINGHAM AL 35201-1928

## ADDRESS SERVICE REQUESTED

MB 01 003133 14391 B 10 C

[REDACTED]

KRISTEN E. CARLSON

LAKELAND FL 33803-2601

## TRANSACTION CONFIRMATION

04/02/2018

## Your Financial Representative:

000Q0P1930  
KARIN D MCKNIGHT  
ALLEN & CO. OF FLORI  
1401 S FLORIDA AVE  
LAKELAND FL 33803

## Contact Information:

Protective Life Insurance Company  
PO Box 1928  
Birmingham, Alabama 35201-1928  
Toll-Free: 800-456-6330  
Monday - Thursday, 7:30 a.m. - 6:00 p.m. Central and  
Friday, 7:30 a.m. - 5:00 p.m. Central  
Online Anytime: [www.myaccount.protective.com](http://www.myaccount.protective.com)

Account Information

## Contract Number:

Owner 1: KRISTEN E. CARLSON  
Annuitant: KRISTEN E. CARLSON  
Plan Type: IRA  
Inception Date: 04/29/2013

## Protective Variable Annuity B Series

Current Contract Allocation Detail

Fund Number	Payment Allocation	Fund Name	Current Allocation	Number of Units	Interest Rate/Unit Value	Value
69006	15.00%	Oppenheimer Government Money Fund	15.00%	3,174.6790	9.225908	\$29,289.30
69037	10.00%	Lord Abbett Bond-Debenture	10.00%	1,429.4810	13.659645	\$19,526.20
69057	5.00%	Franklin US Government Securities	5.00%	993.0440	9.831493	\$9,763.11
69080	10.00%	PIMCO Real Return	10.00%	1,921.5080	10.161915	\$19,526.20
69082	10.00%	PIMCO Total Return	10.00%	1,789.8450	10.909436	\$19,526.20
69091	25.00%	Goldman Sachs Global Trends Alloc	25.00%	4,217.0160	11.575842	\$48,815.51
69099	25.00%	PIMCO Global Diversified Allocation	25.00%	3,983.4280	12.254651	\$48,815.52
100.00% Total			100.00%			\$195,262.04

Transaction Summary

Transaction Date	Transaction Description	Gross Amount	Surrender Charge	Federal Withholding	State Withholding	Net Amount
04/01/2018	Port Rebal	\$196,281.19	\$0.00	\$0.00	\$0.00	\$196,281.19

Transaction Detail

Transaction Date	Fund Number	Fund Name	Transaction Description	Number of Units	Unit Value	Transaction Dollar Amount
04/01/2018	69006	Oppenheimer Government Money Fund	Port Rebal to	3,191.2500	9.225908	\$29,442.18
	69037	Lord Abbett Bond-Debenture	Port Rebal to	1,436.9420	13.659645	\$19,628.12
	69057	Franklin US Government Securities	Port Rebal to	998.2270	9.831493	\$9,814.06
	69080	PIMCO Real Return	Port Rebal to	1,931.5380	10.161915	\$19,628.12
	69082	PIMCO Total Return	Port Rebal to	1,799.1870	10.909436	\$19,628.12
	69091	Goldman Sachs Global Trends Alloc	Port Rebal to	4,239.0260	11.575842	\$49,070.30
	69099	PIMCO Global Diversified Allocation	Port Rebal to	4,004.2180	12.254651	\$49,070.29
	69006	Oppenheimer Government Money Fund	Port Rebal from	3,259.8650	9.225908	\$30,075.21
	69037	Lord Abbett Bond-Debenture	Port Rebal from	1,440.9120	13.659645	\$19,682.35
	69057	Franklin US Government Securities	Port Rebal from	1,007.2720	9.831493	\$9,902.99
	69080	PIMCO Real Return	Port Rebal from	1,955.1200	10.161915	\$19,867.76
	69082	PIMCO Total Return	Port Rebal from	1,813.9620	10.909436	\$19,789.30
	69091	Goldman Sachs Global Trends Alloc	Port Rebal from	4,185.6100	11.575842	\$48,451.96
	69099	PIMCO Global Diversified Allocation	Port Rebal from	3,958.6290	12.254651	\$48,511.62

Your contract value will be rebalanced to the Payment Allocation Quarterly on the 1ST day of the month.

04/02/2018

Transaction Confirmation

Contract # BA2502639

## Current Contract Allocation by Risk Category

Your contract value allocation by risk category as of the ending date, rounded to the nearest whole percent\*. A detailed summary of your current holdings is shown below. Information on the contract's funds can be viewed in the Fund Prospectuses at [www.myaccount.protective.com](http://www.myaccount.protective.com) or by requesting a paper copy from us.

 **Conservative**

\$196,281.19 100%



\* Total may not equal 100% due to rounding

## Current Contract Allocation Detail

Fund Number	Payment Allocation	Fund Name	Current Allocation	Number of Units	Interest Rate/ Unit Value	Value
69006	15.00%	Oppenheimer Government Money Fund	15.32%	3,259.8650	9.225908	\$30,075.21
69037	10.00%	Lord Abbett Bond-Debenture	10.03%	1,440.9120	13.658645	\$19,682.35
69057	5.00%	Franklin US Government Securities	5.05%	1,007.2720	9.831493	\$9,902.99
69080	10.00%	PIMCO Real Return	10.12%	1,955.1200	10.161915	\$19,867.76
69082	10.00%	PIMCO Total Return	10.08%	1,813.9620	10.0909436	\$19,789.36
69091	25.00%	Goldman Sachs Global Trends Alloc	24.68%	4,185.6100	11.575842	\$48,451.96
69099	25.00%	PIMCO Global Diversified Allocation	24.72%	3,958.6290	12.254651	\$48,511.62
100.00% Total			100.00%			\$196,281.19

## Quarterly Transaction Detail

Date	Fund Number	Fund Name	Transaction	Number of Units	Unit Value	Dollar Amount
12/30/2017	69006	Oppenheimer Government Money Fund	SecurePay Fee	3.9000	9.234851	\$36.02
	69037	Lord Abbett Bond-Debenture	SecurePay Fee	1.7530	13.928420	\$24.41
	69057	Franklin US Government Securities	SecurePay Fee	1.2010	9.962287	\$11.96
	69080	PIMCO Real Return	SecurePay Fee	2.3660	10.265143	\$24.29
	69082	PIMCO Total Return	SecurePay Fee	2.1640	11.063945	\$23.94
	69091	Goldman Sachs Global Trends Alloc	SecurePay Fee	5.2320	11.987256	\$62.72
	69099	PIMCO Global Diversified Allocation	SecurePay Fee	4.9490	12.674530	\$62.73
01/01/2018	69006	Oppenheimer Government Money Fund	Port Rebal to	3,321.7380	9.234851	\$30,675.76
	69037	Lord Abbett Bond-Debenture	Port Rebal to	1,468.2580	13.928420	\$20,450.51
	69057	Franklin US Government Securities	Port Rebal to	1,026.3960	9.962287	\$10,225.25
	69080	PIMCO Real Return	Port Rebal to	1,992.2280	10.265143	\$20,450.51
	69082	PIMCO Total Return	Port Rebal to	1,848.3920	11.063945	\$20,450.51
	69091	Goldman Sachs Global Trends Alloc	Port Rebal to	4,265.0510	11.987256	\$51,126.26
	69099	PIMCO Global Diversified Allocation	Port Rebal to	4,033.7790	12.674530	\$51,126.25
	69006	Oppenheimer Government Money Fund	Port Rebal from	3,243.0670	9.234851	\$29,949.24
	69037	Lord Abbett Bond-Debenture	Port Rebal from	1,456.9680	13.928420	\$20,293.26
	69057	Franklin US Government Securities	Port Rebal from	998.0130	9.962287	\$9,942.49
	69080	PIMCO Real Return	Port Rebal from	1,965.5150	10.265143	\$20,176.29
	69082	PIMCO Total Return	Port Rebal from	1,798.6660	11.063945	\$19,900.34
01/02/2018	69091	Goldman Sachs Global Trends Alloc	Port Rebal from	4,348.7650	11.987256	\$52,129.76
	69099	PIMCO Global Diversified Allocation	Port Rebal from	4,111.6850	12.674530	\$52,113.67
	69006	Oppenheimer Government Money Fund	SecurePay Withdrawal	16.5550	9.234851	\$152.86
	69037	Lord Abbett Bond-Debenture	SecurePay Withdrawal	7.3170	13.928420	\$101.92
	69057	Franklin US Government Securities	SecurePay Withdrawal	5.1150	9.962287	\$50.96
	69080	PIMCO Real Return	SecurePay Withdrawal	9.9290	10.265143	\$101.92
	69082	PIMCO Total Return	SecurePay Withdrawal	9.2120	11.063945	\$101.92
	69091	Goldman Sachs Global Trends Alloc	SecurePay Withdrawal	21.2550	11.987256	\$254.79

continued on next page



875-01-01-00 30969 18 C 001 18 S 66 002  
KRISTEN E CARLSON  
[REDACTED]

[REDACTED] 001

## Your consolidated statement

For 12/28/2017

### Contact us



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## Summary of your accounts

ACCOUNT NAME	ACCOUNT NUMBER	BALANCE(\$)	DETAILS ON
SENIOR CHECKING-MM	0000240890364	26,408.93	page 1
INVESTORS DEPOSIT ACCOUNT	0000148456925	15,016.91	page 2
Total checking and money market savings accounts		\$41,425.84	

chk 9  
+ savings

### Checking and money market savings accounts

#### ■ SENIOR CHECKING-MM 0000240890364

##### Account summary

Your previous balance as of 11/29/2017	\$29,769.16
Checks	- 13,269.92
Other withdrawals, debits and service charges	- 6,645.58
Deposits, credits and interest	+ 16,555.27
Your new balance as of 12/28/2017	= \$26,408.93

Average Posted Balance in Statement Cycle \$26,229.00

##### Checks

DATE	CHECK #	AMOUNT(\$)
12/04	1940~	
12/04	* 1944~	
12/04	1945~	
12/01	* 1947	274.13
12/01	1948	739.79

DATE	CHECK #	AMOUNT(\$)
12/04	* 1951	80.00
12/08	1952	10,000.00
12/01	1953	120.00
12/04	* 1956	100.00
12/05	1957	100.00

DATE	CHECK #	AMOUNT(\$)
12/18	1958	250.00
12/12	1959	150.00
12/11	1960	100.00
12/07	1961	125.00
12/12	* 1963	100.00

*continued*



875-01-01-00 30969 8 C 001 18 S 66 002  
KRISTEN E CARLSON

LAKELAND FL 33803-2601

## Your consolidated statement

For 03/28/2018

### Contact us



BBT.com



(800) BANK-BBT or  
(800) 226-5228

Zelle is now in U by BB&T. Zelle is the new way to send money directly between almost anyone in the US, typically within minutes. Using just an email address or mobile phone number, you will be able to quickly, and easily send money through the security of your U by BB&T account. Zelle will be fully integrated into U, so all you have to do is enroll in Zelle within U to take advantage of this exciting new feature.

You can even send money to someone who hasn't enrolled in Zelle. Just send a payment to their email address or mobile phone number, and they'll get a notification that money is waiting for them. Once they enroll in Zelle, they'll receive that payment within 2-3 days, but any future payments will typically be received within minutes.

BB&T, Member FDIC

If you are traveling outside of the USA and have concerns about accessing your account while you are traveling, please contact your Branch Bunker or call us a 800-BANK BBT.

## Summary of your accounts

ACCOUNT NAME	ACCOUNT NUMBER	BALANCE(\$)	DETAILS ON
SENIOR CHECKING-MM	0000240890364	25,311.41	<a href="#">page 1</a>
INVESTORS DEPOSIT ACCOUNT	0000148456925	25,018.45	<a href="#">page 2</a>
Total checking and money market savings accounts		\$50,329.86	

### Checking and money market savings accounts

#### ■ SENIOR CHECKING-MM 0000240890364

##### Account summary

Your previous balance as of 02/27/2018	\$26,659.20
Checks	- 1,420.96
Other withdrawals, debits and service charges	- 13,371.26
Deposits, credits and interest	+ 13,444.43
Your new balance as of 03/28/2018	= \$25,311.41

Average Posted Balance in Statement Cycle

\$27,995.00

PAYER'S name, street address, city or town, state or province, country, and ZIP or foreign postal code  FLORIDA RETIREMENT SYSTEM DIVISION OF RETIREMENT PO BOX 9000 TALLAHASSEE FL 32315-9000			1 Gross distribution  \$ 18,684.96	OMB No. 1545-0119  2017	Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.
			2a Taxable amount  \$ 18,684.96	Form 1099-R	
			2b Taxable amount not determined <input type="checkbox"/>	Total distribution <input type="checkbox"/>	
PAYER'S federal identification number  59-1354377	RECIPIENT'S identification number  XXX-XX-9054		3 Capital gain (included in box 2a)  \$	4 Federal income tax withheld  \$ 3,190.92	
RECIPIENT'S name  KRISTEN E CARLSON [REDACTED]			5 Employee contributions /Designated Roth contributions or Insurance premiums  \$ 0.00	6 Net unrealized appreciation in employer's securities  \$	
			7 Distribution code(s) 7	IRA/ SEP/ SIMPLE <input type="checkbox"/>	8 Other  \$ %
			9a Your percentage of total distribution %	9b Total employee contributions \$ 0.00	
10 Amount allocable to IRR within 5 years  \$	11 1st year of desig. Roth contrib.  [REDACTED]	FATCA filing requirement <input type="checkbox"/>	12 State tax withheld  \$	13 State/Payer's state no.  \$	14 State distribution  \$
Account number (see instructions)  XXX-XX			15 Local tax withheld  \$	16 Name of locality  \$	17 Local distribution  \$

Form 1099-R

[www.irs.gov/form1099r](http://www.irs.gov/form1099r)

Department of the Treasury - Internal Revenue Service

			<input type="checkbox"/> CORRECTED (if checked)		
PAYER'S name, street address, city or town, state or province, country, and ZIP or foreign postal code  FLORIDA RETIREMENT SYSTEM DIVISION OF RETIREMENT PO BOX 9000 TALLAHASSEE FL 32315-9000			1 Gross distribution  \$ 18,684.96	OMB No. 1545-0119  2017	Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.
			2a Taxable amount  \$ 18,684.96	Form 1099-R	
			2b Taxable amount not determined <input type="checkbox"/>	Total distribution <input type="checkbox"/>	
PAYER'S federal identification number  59-1354377	RECIPIENT'S identification number  XXX-XX		3 Capital gain (included in box 2a)  \$	4 Federal income tax withheld  \$ 3,190.92	
RECIPIENT'S name  KRISTEN E CARLSON [REDACTED]			5 Employee contributions /Designated Roth contributions or Insurance premiums  \$ 0.00	6 Net unrealized appreciation in employer's securities  \$	
			7 Distribution code(s) 7	IRA/ SEP/ SIMPLE <input type="checkbox"/>	8 Other  \$ %
			9a Your percentage of total distribution %	9b Total employee contributions \$ 0.00	
10 Amount allocable to IRR within 5 years  \$	11 1st year of desig. Roth contrib.  [REDACTED]	FATCA filing requirement <input type="checkbox"/>	12 State tax withheld  \$	13 State/Payer's state no.  \$	14 State distribution  \$
Account number (see instructions)  XXX-XX-8043			15 Local tax withheld  \$	16 Name of locality  \$	17 Local distribution  \$

Form 1099-R

(keep for your records)

[www.irs.gov/form1099r](http://www.irs.gov/form1099r)

Department of the Treasury - Internal Revenue Service

EFT Number  
4208631

# STATE OF FLORIDA

Payment Date  
4/30/2018

## STATEMENT OF RETIREMENT BENEFIT PAYMENTS

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REMITTED BY	PAYEE		**WITHHOLDING STATUS**	
DIVISION OF RETIREMENT P.O. BOX 9000 TALLAHASSEE, FLORIDA 32315-9000	PAYEE : KRISTEN E CARLSON		MARITAL STATUS :	S
	PAYEE : XXX-XX-		ALLOWANCES :	0
	MEMBER : DANNY L GUNTER		STATED W/H TAX :	
	MEMBER : XXX-XX-		ADDL W/H TAX :	\$100.00
			W/H TAX :	Y
SUMMARY OF BENEFITS AND DEDUCTIONS		MISCELLANEOUS DEDUCTIONS		
BENEFIT DESCRIPTION	THIS PAYMENT	CALENDAR YEAR-TO-DATE	CODE	DESCRIPTION
Retirement Benefit	\$1,580.09	\$6,320.36		THIS PAYMENT
GROSS BENEFITS	\$1,580.09	\$6,320.36		CALENDAR YEAR-TO-DATE
WITHHOLDING TAX	\$236.77	\$947.08		
MISC DEDUCTIONS				
NET BENEFITS	\$1,343.32	\$5,373.28		TOTAL OF MISC DEDUCTIONS

If you have questions about this statement or your retirement: call toll free 1-844-377-1888 (or local 850-907-6500); visit our website [frs.MyFlorida.com](http://frs.MyFlorida.com); write (see above) or email [Retirement@dms.myflorida.com](mailto:Retirement@dms.myflorida.com)

THE DIVISION OF RETIREMENT, DEPARTMENT OF MANAGEMENT SERVICES FURNISHED THE ABOVE INFORMATION.

\*\*Refer to your online (Retiree) Account Information for current tax withholding status & allowances.

PAYER'S name, street address, city or town, state or province, country, and ZIP or foreign postal code  FLORIDA RETIREMENT SYSTEM DIVISION OF RETIREMENT PO BOX 9000 TALLAHASSEE FL 32315-9000			1 Gross distribution  \$ 29,189.76  2a Taxable amount  \$ 29,189.76	OMB No. 1545-0119  2017  Form 1099-R	Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.
			2b Taxable amount not determined <input type="checkbox"/>	Total distribution <input type="checkbox"/>	Copy B Report this income on your federal tax return. If this form shows federal income tax withheld in box 4, attach this copy to your return.
PAYER'S federal identification number  59-1354377	RECIPIENT'S identification number  XXX-XX-	3 Capital gain (included in box 2a)  \$	4 Federal income tax withheld  \$ 15,730.80		
RECIPIENT'S name  KRISTEN E CARLSON  [REDACTED] LAKELAND, FL 33806-9001			5 Employee contributions /Designated Roth contributions or insurance premiums  \$ 0.00	6 Net unrealized appreciation in employer's securities  \$	
			7 Distribution code(s) 7 <input type="checkbox"/>	8 Other  \$ %	
			9a Your percentage of total distribution %	9b Total employee contributions  \$ 0.00	This information is being furnished to the Internal Revenue Service.
10 Amount allocable to IRR within 5 years  \$	11 1st year of desig. Roth contrib.  <input type="checkbox"/>	12 State tax withheld  \$	13 State/Payer's state no.  \$	14 State distribution  \$	
Account number (see instructions)  XXX-XX			15 Local tax withheld  \$	16 Name of locality  \$	17 Local distribution  \$

Form 1099-R

www.irs.gov/form1099r

Department of the Treasury - Internal Revenue Service

			<input type="checkbox"/> CORRECTED (if checked)		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.
PAYER'S name, street address, city or town, state or province, country, and ZIP or foreign postal code  FLORIDA RETIREMENT SYSTEM DIVISION OF RETIREMENT PO BOX 9000 TALLAHASSEE FL 32315-9000			1 Gross distribution  \$ 29,189.76  2a Taxable amount  \$ 29,189.76	OMB No. 1545-0119  2017  Form 1099-R	
			2b Taxable amount not determined <input type="checkbox"/>	Total distribution <input type="checkbox"/>	Copy C For Recipient's Records
PAYER'S federal identification number  59-1354377	RECIPIENT'S identification number  XXX-XX-	3 Capital gain (included in box 2a)  \$	4 Federal income tax withheld  \$ 15,730.80		
RECIPIENT'S name  KRISTEN E CARLSON  [REDACTED] LAKELAND, FL 33806-9001			5 Employee contributions /Designated Roth contributions or insurance premiums  \$ 0.00	6 Net unrealized appreciation in employer's securities  \$	
			7 Distribution code(s) 7 <input type="checkbox"/>	8 Other  \$ %	
			9a Your percentage of total distribution %	9b Total employee contributions  \$ 0.00	This information is being furnished to the Internal Revenue Service.
10 Amount allocable to IRR within 5 years  \$	11 1st year of desig. Roth contrib.  <input type="checkbox"/>	12 State tax withheld  \$	13 State/Payer's state no.  \$	14 State distribution  \$	
Account number (see instructions)  XXX-XX-9054			15 Local tax withheld  \$	16 Name of locality  \$	17 Local distribution  \$

Form 1099-R (keep for your records)

www.irs.gov/form1099r

Department of the Treasury - Internal Revenue Service

EFT Number  
4208630

# STATE OF FLORIDA

Payment Date  
4/30/2018

## STATEMENT OF RETIREMENT BENEFIT PAYMENTS

Attachment 9 2 of 2

REMITTED BY	PAYEE	**WITHHOLDING STATUS**				
DIVISION OF RETIREMENT P.O. BOX 9000 TALLAHASSEE, FLORIDA 32315-9000	PAYEE : KRISTEN E CARLSON PAYEE : XXX-XX MEMBER : KRISTEN E CARLSON MEMBER : XXX-XX	MARITAL STATUS : S				
		ALLOWANCES : 0				
		STATED W/H TAX :				
		ADDL W/H TAX : \$1,000.00				
		W/H TAX : Y				
SUMMARY OF BENEFITS AND DEDUCTIONS		MISCELLANEOUS DEDUCTIONS				
BENEFIT DESCRIPTION	THIS PAYMENT	CALENDAR YEAR-TO-DATE	CODE	DESCRIPTION	THIS PAYMENT	CALENDAR YEAR-TO- DATE
Retirement Benefit	\$2,462.75	\$9,851.00				
Health Insurance Subsidy (HIS)	\$91.20	\$364.80	004	STATE HEALTH TRUST FUND	\$692.84	\$2,771.36
<b>GROSS BENEFITS</b>	<b>\$2,553.95</b>	<b>\$10,215.80</b>				
<b>WITHHOLDING TAX</b>	<b>\$1,253.63</b>	<b>\$5,014.52</b>				
<b>MISC DEDUCTIONS</b>	<b>\$692.84</b>	<b>\$2,771.36</b>				
<b>NET BENEFITS</b>	<b>\$607.48</b>	<b>\$2,429.92</b>		<b>TOTAL OF MISC DEDUCTIONS</b>	<b>\$692.84</b>	<b>\$2,771.36</b>

If you have questions about this statement or your retirement: call toll free 1-844-377-1888 (or local 850-907-6500); visit our website [frs.MyFlorida.com](http://frs.MyFlorida.com); write (see above) or email [Retirement@dms.myflorida.com](mailto:Retirement@dms.myflorida.com)

THE DIVISION OF RETIREMENT, DEPARTMENT OF MANAGEMENT SERVICES FURNISHED THE ABOVE INFORMATION.

\*\*Refer to your online (Retiree) Account Information for current tax withholding status & allowances.

**ULTIMATE II**

Statement Date: April 2, 2018  
 For the period April 1, 2017 to April 1, 2018

[www.jackson.com](http://www.jackson.com)

**JACKSON**<sup>SM</sup>  
 NATIONAL LIFE INSURANCE COMPANY

Prepared for:

Kristen C Gunter

[REDACTED]  
 [REDACTED]

Your Representative:

GARY F FAIRCHILD  
 8415 SW 1ST AVE  
 GAINESVILLE FL 32607-0000

**Account Information**

Owner(s): Kristen C Gunter  
 Insured(s): Kristen C Gunter  
 Policy Number: 0006799430  
 Policy Date: 04/01/1985  
 Premium Mode: ANNUAL  
 Modal Premium: \$717.00  
 Current Death Benefit: \$100,000.00

Next Year's Projected Cost of Insurance: \$976.83

Guaranteed Interest Rate: 4.00%

This Year's Net Interest Rate: 4.00%

Next Year's Projected Net Interest Rate: 4.00%

Net Interest Rate Change: 0.00%

**Activity Summary**

Beginning Value Accumulation on 4/1/2017	\$6,357.11
Amounts Added to Account	
From Premiums (#)	\$0.00
From Interest	\$219.22
Amounts Deducted from Account	
Cost of Insurance	\$876.53
Ending Value Accumulation	
Account on 4/1/2018	\$5,699.80
Loan Indebtedness	\$0.00
Surrender Charge	\$0.00
Net Cash Surrender Value on 4/1/2018	\$5,699.80

**Loan Summary**

NO LOAN ACTIVITY

**Important Information Notes**

(#) Option with respect to premium payment.

**IMPORTANT POLICY OWNER NOTICE:** You should consider requesting more detailed information about your policy to understand how it may perform in the future. You should not consider replacement of your policy or make changes in your coverage without requesting a current illustration. You may annually request, without charge, such an illustration by calling our Service Center at the time and hours printed below or by writing to us at the address provided or by contacting your representative. If you do not receive a current illustration of your policy within 30 days from your request, you should contact your state insurance department.

This status report is not complete without consideration of all page(s) of the report. Please keep this status report with your policy.

Questions? Please, contact your Jackson representative, or call us at 800-644-4565  
 Monday-Friday, 8:00 a.m. to 8:00 p.m. (ET).

Write us at Jackson Service Center, P.O. Box 24068, Lansing, MI 48909-4068



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UL0FL



**Variable Annuity  
Confirmation Statement**

Contract No:

000979 5137358 0001 092249 102 30027SV  
Kristen E Carlson  
[REDACTED]  
[REDACTED]

Annuitant: Kristen E Carlson  
Contract Date: 10/31/2001  
Account: B - RR Series front end design  
Market: Individual Retirement Annuity (IRA)  
Risk Tolerance: Balanced

Page 1 of 2

Effective Date: February 1, 2018

Transfer between funds according to portfolio rebalancing request

\$69,819.47

Mason Street Advisors, LLC (MSA) is the principal investment adviser for all the Portfolios in the Northwestern Mutual Series Fund (Series Fund). (Portfolios in the Series Fund are identified by the parenthetical next to the portfolio name.) MSA has engaged and oversees sub-advisers who provide day-to-day management for certain of the Series Fund Portfolios. Each sub-adviser may be replaced without the approval of shareholders. Please see the prospectus for more information. Additional fund options are advised by Russell Investments, Fidelity Investments® and Neuberger Berman Investment Advisers, LLC., and Credit Suisse Asset Management, LLC.

Variable Funds	Units	Unit Value	Transaction Value
Equity Income (MSA/T Rowe Price)	- 2,099.57	\$3.43	- \$7,207.40
Equity Income (MSA/T Rowe Price)	+ 2,033.90	3.43	+ 6,981.95
Neuberger Berman Socially Responsive	- 2,032.13	2.12	- 4,310.34
Neuberger Berman Socially Responsive	+ 1,975.01	2.12	+ 4,189.17
Fidelity VIP Contrafund	- 1,949.65	2.21	- 4,310.66
Fidelity VIP Contrafund	+ 1,894.71	2.21	+ 4,189.17
FocusedAppreciation(MSA/LoomisSayles)	- 1,403.16	5.33	- 7,486.10
FocusedAppreciation(MSA/LoomisSayles)	+ 1,308.66	5.33	+ 6,981.94
Mid Cap Value (MSA/Amer Century)	- 668.50	4.21	- 2,816.65
Mid Cap Value (MSA/Amer Century)	+ 662.83	4.21	+ 2,792.78
Fidelity VIP Mid Cap	- 525.95	5.57	- 2,932.93
Fidelity VIP Mid Cap	+ 500.82	5.57	+ 2,792.78
Small Cap Value (MSA/T Rowe Price)	- 471.84	4.41	- 2,084.93
Small Cap Value (MSA/T Rowe Price)	+ 474.02	4.41	+ 2,094.58
Research International Core (MSA/MFS)	- 4,505.29	1.27	- 5,744.31
Research International Core (MSA/MFS)	+ 4,380.78	1.27	+ 5,585.56
International Equity(MSA/Franklin Tmpl)	- 1,764.25	2.36	- 4,170.12
International Equity(MSA/Franklin Tmpl)	+ 1,772.31	2.36	+ 4,189.17
International Growth (MSA/FIAM LLC)	- 1,823.89	2.35	- 4,296.46
International Growth (MSA/FIAM LLC)	+ 1,778.34	2.35	+ 4,189.17
Emerging Markets Equity (MSA/Aberdeen)	- 4,012.39	1.26	- 5,060.41
Emerging Markets Equity (MSA/Aberdeen)	+ 3,875.17	1.26	+ 4,887.36
Select Bond (MSA/Wells Capital Mgmt)	- 2,233.02	2.25	- 5,045.71
Select Bond (MSA/Wells Capital Mgmt)	+ 2,471.93	2.25	+ 5,585.56
Strategic Bond (RIF)	- 1,134.48	2.22	- 2,525.62
Strategic Bond (RIF)	+ 1,254.49	2.22	+ 2,792.78
Inflation Protection (MSA/Amer Century)	- 928.61	1.38	- 1,282.93
Inflation Protection (MSA/Amer Century)	+ 1,010.74	1.38	+ 1,396.39
Multi Sector Bond (MSA/PIMCO)	- 1,454.78	1.76	- 2,574.65

## Variable Annuity Confirmation Statement

Annuitant: Kristen E Carlson

**Contract No.**

Page 2 of 2

Variable Funds	Units	Unit Value	Transaction Value
Multi Sector Bond (MSA/PIMCO)	+ 1,578.04	1.76	+ 2,792.78
High Yield Bond (MSA/Federated Inv.)	- 424.09	3.02	- 1,284.07
High Yield Bond (MSA/Federated Inv.)	+ 461.19	3.02	+ 1,396.39
Global Real Estate Securities (RIF)	- 661.16	4.94	- 3,271.40
Global Real Estate Securities (RIF)	+ 705.54	4.94	+ 3,490.97
Credit Suisse Commodity Strategy	- 690.31	4.94	- 3,414.78
Credit Suisse Commodity Strategy	+ 705.71	4.94	+ 3,490.97

Variable Funds	Units	Unit Value	Contract Value
Equity Income (MSA/T Rowe Price)	2,033.90	\$3.43	\$6,981.95
Neuberger Berman Socially Responsive	1,975.01	2.12	4,189.17
Fidelity VIP Contrafund	1,894.71	2.21	4,189.17
Focused Appreciation(MSA/Loomis Sayles)	1,308.66	5.33	6,981.94
Mid Cap Value (MSA/Amer Century)	662.83	4.21	2,792.78
Fidelity VIP Mid Cap	500.82	5.57	2,792.78
Small Cap Value (MSA/T Rowe Price)	474.02	4.41	2,094.58
Research International Core (MSA/MFS)	4,380.78	1.27	5,585.56
International Equity(MSA/Franklin Tmp)	1,772.31	2.36	4,189.17
International Growth (MSA/FIAM LLC)	1,778.34	2.35	4,189.17
Emerging Markets Equity (MSA/Aberdeen)	3,875.17	1.26	4,887.36
Select Bond (MSA/Wells Capital Mgmt)	2,471.93	2.25	5,585.56
Strategic Bond (RIF)	1,254.49	2.22	2,792.78
Inflation Protection (MSA/Amer Century)	1,010.74	1.38	1,396.39
Multi Sector Bond (MSA/PIMCO)	1,578.04	1.76	2,792.78
High Yield Bond (MSA/Federated Inv.)	461.19	3.02	1,396.39
Global Real Estate Securities (RIF)	705.54	4.94	3,490.97
Credit Suisse Commodity Strategy	705.71	4.94	3,490.97
<b>TOTAL CONTRACT VALUE</b>			<b>\$69,819.47</b>

Thank you for choosing a Northwestern Mutual Annuity. If we can be of service, please contact your

**Financial Representative:** Thomas G Pate, CLU, ChFC  
Ste 201  
4729 US Highway 98 S  
Lakeland FL 33812  
Phone No. (863) 577-3267

**Network Office:** Thomas Goes Assoc Inc  
1000 Legion Pl Ste 1400  
Orlando FL 32801-1041

or call the Annuity Customer Service Center at 1-888-455-2232, or visit us at [www.northwesternmutual.com](http://www.northwesternmutual.com).

The Select Variable Annuity offers access to funds that cover a wide range of asset classes and investment styles. The Select Variable Annuity also offers a variety of income options for flexibility in deciding how to receive income during retirement.

Includes all transactions processed through 02/01/18.



**Variable Annuity  
Summary Statement**

For the Period: 01/01/2018 through 03/31/2018

Contract No:

0005056 5258745 0001 092249 10Z 30027SV  
Kristen E. Carlson

Annuitant: Kristen E. Carlson  
Contract Date: 10/31/2001  
Account: B - RR Series front end design  
Market: Individual Retirement Annuity (IRA)

Lakeland FL 33803

Attachment 11B

Page 1 of 2

Account

Beginning Contract Value	\$67,244.71
Investment Return	- 545.47
<b>Ending Contract Value</b>	<b>\$66,699.24</b>

Mason Street Advisors, LLC (MSA) is the principal investment adviser for all the Portfolios in the Northwestern Mutual Series Fund (Series Fund). (Portfolios in the Series Fund are identified by the parenthetical next to the portfolio name.) MSA has engaged and oversees sub-advisers who provide day-to-day management for certain of the Series Fund Portfolios. Each sub-adviser may be replaced without the approval of shareholders. Please see the prospectus for more information. Additional fund options are advised by Russell Investments, Fidelity Investments® and Neuberger Berman Investment Advisers, LLC., and Credit Suisse Asset Management, LLC.

Variable Funds	Percent of Contract Value	Units	Unit Value	Contract Value	Current Period Investment Return
Equity Income (MSA/T Rowe Price)	10%	2,033.90	\$3.19	\$6,501.96	-\$151.39
Neuberger Berman Socially Responsive	6%	1,975.01	2.00	3,961.27	22.18
Fidelity VIP Contrafund	6%	1,894.71	2.09	3,966.31	14.79
FocusedAppreciation(MSA/LoomisSayles)	10%	1,308.66	4.99	6,540.84	77.17
Mid Cap Value (MSA/Amer Century)	4%	662.83	3.96	2,629.07	-65.65
Fidelity VIP Mid Cap	4%	500.82	5.29	2,650.07	11.80
Small Cap Value (MSA/T Rowe Price)	3%	474.02	4.28	2,028.97	-53.50
Research International Core (MSA/MFS)	8%	4,380.78	1.20	5,284.56	-24.48
International Equity(MSA/Franklin Tmpl)	6%	1,772.31	2.21	3,923.94	-64.06
International Growth (MSA/FIAM LLC)	6%	1,778.34	2.22	3,952.43	-4.05
Emerging Markets Equity (MSA/Aberdeen)	7%	3,875.17	1.17	4,550.92	18.88
Select Bond (MSA/Wells Capital Mgmt)	8%	2,471.93	2.25	5,576.78	-84.47
Strategic Bond (RIF)	4%	1,254.49	2.22	2,787.00	-36.54
Inflation Protection (MSA/Amer Century)	2%	1,010.74	1.37	1,391.52	-19.44
Multi Sector Bond (MSA/PIMCO)	4%	1,578.04	1.76	2,777.82	-30.36
High Yield Bond (MSA/Federated Inv.)	2%	461.19	2.98	1,374.80	-18.76
Global Real Estate Securities (RIF)	5%	705.54	4.80	3,390.26	-122.25
Credit Suisse Commodity Strategy	5%	705.71	4.83	3,410.72	-15.34
<b>Totals</b>	<b>100%</b>			<b>\$66,699.24</b>	<b>-\$545.47</b>

Cash surrender value at the beginning of the current period on 01/01/2018 was \$67,244.71. The cash surrender value at the end of the current period on 03/31/2018 was \$66,699.24.



## Quarterly Transaction Detail - continued

Date	Fund Number	Fund Name	Transaction	Number of Units	Unit Value	Dollar Amount
01/30/2018	69099	PIMCO Global Diversified Allocation	SecurePay Withdrawal	20.1020	12.674530	\$254.78
	69006	Oppenheimer Government Money Fund	SecurePay Fee	3.9400	9.231422	\$36.37
	69037	Lord Abbett Bond-Debenture	SecurePay Fee	1.7420	14.015451	\$24.41
	69057	Franklin US Government Securities	SecurePay Fee	1.2180	9.861596	\$12.01
	69080	PIMCO Real Return	SecurePay Fee	2.3640	10.180360	\$24.07
	69082	PIMCO Total Return	SecurePay Fee	2.1920	10.956891	\$24.02
	69091	Goldman Sachs Global Trends Alloc	SecurePay Fee	5.0600	12.368041	\$62.58
02/02/2018	69099	PIMCO Global Diversified Allocation	SecurePay Fee	4.7880	13.077739	\$62.61
	69006	Oppenheimer Government Money Fund	SecurePay Withdrawal	16.4830	9.231497	\$152.16
	69037	Lord Abbett Bond-Debenture	SecurePay Withdrawal	7.2840	13.935401	\$101.51
	69057	Franklin US Government Securities	SecurePay Withdrawal	5.0960	9.819312	\$50.04
	69080	PIMCO Real Return	SecurePay Withdrawal	9.8820	10.137886	\$100.18
	69082	PIMCO Total Return	SecurePay Withdrawal	9.1760	10.917983	\$100.18
	69091	Goldman Sachs Global Trends Alloc	SecurePay Withdrawal	21.1620	12.175122	\$257.65
03/01/2018	69099	PIMCO Global Diversified Allocation	SecurePay Withdrawal	20.0240	12.856847	\$257.45
	69006	Oppenheimer Government Money Fund	SecurePay Fee	4.0450	9.228090	\$37.33
	69037	Lord Abbett Bond-Debenture	SecurePay Fee	1.7890	13.798621	\$24.68
	69057	Franklin US Government Securities	SecurePay Fee	1.2490	9.809821	\$12.25
	69080	PIMCO Real Return	SecurePay Fee	2.4260	10.111553	\$24.53
	69082	PIMCO Total Return	SecurePay Fee	2.2500	10.902034	\$24.53
	69091	Goldman Sachs Global Trends Alloc	SecurePay Fee	5.1940	11.818807	\$61.39
03/02/2018	69099	PIMCO Global Diversified Allocation	SecurePay Fee	4.9140	12.486635	\$61.36
	69006	Oppenheimer Government Money Fund	SecurePay Withdrawal	16.7640	9.228469	\$154.71
	69037	Lord Abbett Bond-Debenture	SecurePay Withdrawal	7.4070	13.786917	\$102.12
	69057	Franklin US Government Securities	SecurePay Withdrawal	5.1820	9.792996	\$50.75
	69080	PIMCO Real Return	SecurePay Withdrawal	10.0570	10.102923	\$101.61
	69082	PIMCO Total Return	SecurePay Withdrawal	9.3270	10.873103	\$101.41
	69091	Goldman Sachs Global Trends Alloc	SecurePay Withdrawal	21.5240	11.818384	\$254.38
	69099	PIMCO Global Diversified Allocation	SecurePay Withdrawal	20.3580	12.486188	\$254.19

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### Important Information

Please review this statement carefully and contact us or your financial advisor promptly if you have questions, or need to advise us of any error in the information presented. Within thirty (30) days of receiving this Statement, you should review it and advise Protective in writing of any omission or inaccuracy in the transactions or positions reported. Except for any omission or inaccuracy resulting from Protective's failure to comply with our obligations under your Contract(s) with us, or that would not be readily apparent from a reasonable review of your Statement, if you do not advise us in writing of any errors, omissions or inaccuracies within the thirty (30) day period, we will presume that your Statement is correct, absent manifest errors or omissions. We will not process corrections more than thirty (30) days after you receive your Statement.

If you had a distribution during 2017, 1099-R forms will be available online beginning in February 2018 for most contract holders.

To access, go to [www.myaccount.protective.com](http://www.myaccount.protective.com).

At Protective Life Insurance Company, we strive to provide our customers with high quality products and services.

For further information about your annuity contract, please visit [www.myaccount.protective.com](http://www.myaccount.protective.com) or call 800-456-6330 Monday through Thursday 7:30 a.m. through 6:00 p.m. Central Time and Friday 7:30 a.m. through 5:00 p.m. Central Time.

Annuities are not deposits or obligations of, or guaranteed by, any bank or financial institution. Annuities are not insured by the FDIC or any other agency and are subject to risk, including the loss of principal.



BRANCH BANKING & TRUST CO.  
2501 WOOTEN BLVD SW  
WILSON NC 27893-4426  
TELEPHONE NO. 1-800-394-1470  
E.I.N. 56-1521980

Attachment 12 Page 1 of 2

BB&T

Page 1 of 2

KRISTEN E CARLSON  
[REDACTED]  
[REDACTED]

THIS INFORMATION IS BEING PROVIDED TO THE INTERNAL REVENUE SERVICE.

FOR CALENDAR YEAR  
2017

TAXPAYER ID NUMBER  
265-08-9054

2017 - 1099-INT, INTEREST INCOME

ACCOUNT NUMBER

CHECKING		
BOX 1	INTEREST INCOME	8.76
TOTAL INTEREST	8.76	

2017 - 1099-INT, INTEREST INCOME

ACCOUNT NUMBER

US SAV/TREAS OB		
BOX 3	INTEREST ON U.S. SAVINGS BONDS AND TREAS. OBLIGATIONS	10,975.68
TOTAL U.S. TREASURY NOTES	10,975.68	

1099-INT - Interest Income (OMB No. 1545-0112)  
Copy B For Recipient

IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

**1099-INT - Interest Income (OMB No. 1545-0112)**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer may report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did not notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Recipient's taxpayer identification number.** For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Box 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2017 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Box 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

**Box 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Box 5.** Any amount shown is your share of investment expenses of a single class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.

**Box 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

**Box 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Box 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4. See the instructions above for a tax-exempt covered security acquired at a premium.

**Box 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Box 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1278(b). Report the accrued market discount on your income tax return as directed in the instructions for Form 1040 or 1040A. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Box 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B) to determine the net amount of interest includable in income on Form 1040 with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Box 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B) to determine the net amount of interest includable in income on Form 1040 with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in this box is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Box 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in this box is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Box 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Boxes 15 - 17.** State tax withheld reporting boxes.

**Nominees.** If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse.

**Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/form1099int](http://www.irs.gov/form1099int).



Northwestern Mutual®

Variable Annuity  
Summary Statement

For the Period: 01/01/2018 through 03/31/2018

Contract No:

005056 5258945 0003 092249 10Z 30027SV  
Kristen E. Carlson

Annuitant: Kristen E. Carlson  
Contract Date: 10/31/2001  
Account: B - RR Series front end design  
Market: Individual Retirement Annuity (IRA)

Lakeland FL 33803

Attachment 11-B

Page 1 of 2

Beginning Contract Value	\$67,244.71
Investment Return	-545.47
<b>Ending Contract Value</b>	<b>\$66,699.24</b>

Mason Street Advisors, LLC (MSA) is the principal investment adviser for all the Portfolios in the Northwestern Mutual Series Fund (Series Fund). (Portfolios in the Series Fund are identified by the parenthetical next to the portfolio name.) MSA has engaged and oversees sub-advisers who provide day-to-day management for certain of the Series Fund Portfolios. Each sub-adviser may be replaced without the approval of shareholders. Please see the prospectus for more information. Additional fund options are advised by Russell Investments, Fidelity Investments® and Neuberger Berman Investment Advisers, LLC., and Credit Suisse Asset Management, LLC.

Variable Funds	Percent of Contract Value	Units	Unit Value	Contract Value	Current Period Investment Return
Equity Income (MSA/T Rowe Price)	10%	2,033.90	\$3.19	\$6,501.96	-\$151.39
Neuberger Berman Socially Responsive	6%	1,975.01	2.00	3,981.27	22.18
Fidelity VIP Contrafund	6%	1,894.71	2.09	3,986.31	14.79
Focused Appreciation (MSA/Loomis Sayles)	10%	1,308.66	4.99	6,540.84	77.17
Mid Cap Value (MSA/Amer Century)	4%	662.83	3.96	2,629.07	-65.65
Fidelity VIP Mid Cap	4%	500.82	5.29	2,650.07	11.80
Small Cap Value (MSA/T Rowe Price)	3%	474.02	4.28	2,028.97	-53.50
Research International Core (MSA/MFS)	8%	4,380.78	1.20	5,284.56	-24.48
International Equity (MSA/Franklin Tmpf)	6%	1,772.31	2.21	3,923.94	-64.06
International Growth (MSA/FIAM LLC)	6%	1,778.34	2.22	3,952.43	-4.05
Emerging Markets Equity (MSA/Aberdeen)	7%	3,875.17	1.17	4,550.92	18.88
Select Bond (MSA/Wells Capital Mgmt)	8%	2,471.93	2.25	5,576.78	-84.47
Strategic Bond (RIF)	4%	1,254.49	2.22	2,787.00	-36.54
Inflation Protection (MSA/Amer Century)	2%	1,010.74	1.37	1,391.52	-19.44
Multi Sector Bond (MSA/PIMCO)	4%	1,578.04	1.76	2,777.82	-30.36
High Yield Bond (MSA/Federated Inv.)	2%	461.19	2.98	1,374.80	-18.76
Global Real Estate Securities (RIF)	5%	705.54	4.80	3,390.26	-122.25
Credit Suisse Commodity Strategy	5%	705.71	4.83	3,410.72	-15.34
<b>Totals</b>	<b>100%</b>			<b>\$66,699.24</b>	<b>-\$545.47</b>

Cash surrender value at the beginning of the current period on 01/01/2018 was \$67,244.71. The cash surrender value at the end of the current period on 03/31/2018 was \$66,699.24.

## Quarterly Transaction Detail - continued

Date	Fund Number	Fund Name	Transaction	Number of Units	Unit Value	Dollar Amount
01/30/2018	69099	PIMCO Global Diversified Allocation	SecurePay Withdrawal	20.1020	12.674530	\$254.78
	69006	Oppenheimer Government Money Fund	SecurePay Fee	3.9400	9.231422	\$36.37
	69037	Lord Abbett Bond-Debenture	SecurePay Fee	1.7420	14.015451	\$24.41
	69057	Franklin US Government Securities	SecurePay Fee	1.2180	9.861596	\$12.01
	69080	PIMCO Real Return	SecurePay Fee	2.3640	10.180360	\$24.07
	69082	PIMCO Total Return	SecurePay Fee	2.1920	10.956891	\$24.02
	69091	Goldman Sachs Global Trends Alloc	SecurePay Fee	5.0600	12.368041	\$62.58
02/02/2018	69099	PIMCO Global Diversified Allocation	SecurePay Fee	4.7880	13.077739	\$62.61
	69006	Oppenheimer Government Money Fund	SecurePay Withdrawal	16.4830	9.231497	\$152.16
	69037	Lord Abbett Bond-Debenture	SecurePay Withdrawal	7.2840	13.935401	\$101.51
	69057	Franklin US Government Securities	SecurePay Withdrawal	5.0960	9.819312	\$50.04
	69080	PIMCO Real Return	SecurePay Withdrawal	9.8820	10.137886	\$100.18
	69082	PIMCO Total Return	SecurePay Withdrawal	9.1760	10.917983	\$100.18
	69091	Goldman Sachs Global Trends Alloc	SecurePay Withdrawal	21.1620	12.175122	\$257.65
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	69006	Oppenheimer Government Money Fund	SecurePay Fee	4.0450	9.228090	\$37.33
	69037	Lord Abbett Bond-Debenture	SecurePay Fee	1.7890	13.798621	\$24.68
	69057	Franklin US Government Securities	SecurePay Fee	1.2490	9.809821	\$12.25
	69080	PIMCO Real Return	SecurePay Fee	2.4260	10.111553	\$24.53
	69082	PIMCO Total Return	SecurePay Fee	2.2500	10.902034	\$24.53
	69091	Goldman Sachs Global Trends Alloc	SecurePay Fee	5.1940	11.818807	\$61.39
03/02/2018	69099	PIMCO Global Diversified Allocation	SecurePay Fee	4.9140	12.486635	\$61.36
	69006	Oppenheimer Government Money Fund	SecurePay Withdrawal	16.7640	9.228469	\$154.71
	69037	Lord Abbett Bond-Debenture	SecurePay Withdrawal	7.4070	13.786917	\$102.12
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	69080	PIMCO Real Return	SecurePay Withdrawal	10.0570	10.102923	\$101.61
	69082	PIMCO Total Return	SecurePay Withdrawal	9.3270	10.873103	\$101.41
	69091	Goldman Sachs Global Trends Alloc	SecurePay Withdrawal	21.5240	11.818384	\$254.38
	69099	PIMCO Global Diversified Allocation	SecurePay Withdrawal	20.3580	12.486188	\$254.19

072215 22

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At Protective Life Insurance Company, we strive to provide our customers with high quality products and services.

For further information about your annuity contract, please visit [www.myaccount.protective.com](http://www.myaccount.protective.com) or call 800-456-6330 Monday through Thursday 7:30 a.m. through 6:00 p.m. Central Time and Friday 7:30 a.m. through 5:00 p.m. Central Time.

Annuities are not deposits or obligations of, or guaranteed by, any bank or financial institution. Annuities are not insured by the FDIC or any other agency and are subject to risk, including the loss of principal.



STATE OF FLORIDA DEFERRED COMPENSATION PLAN  
PO BOX 182797  
COLUMBUS OH 43218-2797

Acct#:

October 1, 2017 - December 31, 2017

KRISTEN E CARLSON

~~105 MOUNTAIN LANE~~  
~~105 MOUNTAIN LANE~~ 43201

Please review your statement carefully. Corrections may not be accepted more than 45 days after the closing date of the statement.

## Questions? Contact Us!

CUSTOMER SERVICE: (800)949-4457

(ESPAÑOL): (800)949-4457

TTY: (800)949-4457

Website: [www.nrsflorida.com](http://www.nrsflorida.com)

Write us at: NATIONWIDE RETIREMENT  
SOLUTIONS  
PO BOX 182797  
COLUMBUS OH 43218-2797

Nationwide Investment Services Corporation, member FINRA, in Michigan Only:  
Nationwide Investment Svcs. Corporation

## Activity at a Glance

Balance as of 10-01-17	457(b) Plan
Contributions/Transfers In*	\$118,270.80
Interest/Dividend/Cap Gain/Reimb	\$0.00
Gain/Loss	\$6,508.22
Withdrawals/Transfers Out**	-\$2,716.63
Charges/Fees	-\$1,805.40
Balance as of 12-31-17	\$0.00
	\$120,256.99

\*Including, but not limited to, Employee and Employer Contributions, Rollovers and Transfers In.

\*\*Including, but not limited to, Rollovers and Transfers Out, Partial and Lump Sum Payments.

## Total Gain Loss Since Joining

Plan Type	Contributions Since Joining/ Transfers In/Loan Payment	Withdrawals/Transfers Out/Loan Disbursement	Gain/Loss/Fees	Total Balance as of 12-31-17
457(b) Plan	\$55,653.00	-\$13,221.60	\$77,825.59	\$120,256.99

"Since Joining" refers to the period of time your account has been administered by Nationwide. If applicable, the above data is inclusive of Self Directed Option balance. For more information about asset fees, contact your customer service center.

## Contributions and Distributions Summary - October 1, 2017 to December 31, 2017

	Last Contribution Amount	Contributions This Period	Contributions Year to Date	Last Distribution Amount	Distributions Year to Date
457(b) Plan		\$0.00	\$0.00	-\$601.80	-\$7,221.60

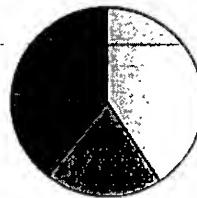
## Your Asset Allocation - October 1, 2017 to December 31, 2017

To the right is your Account Allocation.  
Your Account Allocation is how the money  
in your account is divided among the  
investment options you have selected.

For more information on contribution and  
account allocation, log on to  
[WWW.NRSFLORIDA.COM](http://WWW.NRSFLORIDA.COM)

\*Percentages and totals may not be exact due to  
rounding.

## Your Account Allocation



## Investment Type

41% Large-Cap Stocks	\$48,781.42
20% Fixed Assets/Cash	\$24,600.03
16% International Stocks	\$19,528.38
12% Mid-Cap Stocks	\$13,874.79
11% Small-Cap Stocks	\$13,472.37
100% Total Percent/Value	\$120,256.99

## Statement Details

## Investment Option Summary - October 1, 2017 to December 31, 2017

Ending Price is as of 12/31/2017

<u>Investment Option</u>	<u>Current Investment Election</u>	<u>Ending Price</u>	<u>Units/Shares</u>	<u>Value</u>
<b>457(b) Salary Reduction</b>				
Small-Cap Stocks				
*NeuBer Genesis Tr	25.00%		233.6924	\$13,472.37
Small-Cap Stocks Total	25.00%			\$13,472.37
International Stocks				
*Okmrk Int'l	0.00%		683.5275	\$19,528.38
International Stocks Total	0.00%			\$19,528.38
Mid-Cap Stocks				
*JPM MdCap Val I	25.00%		348.4376	\$13,874.79
Mid-Cap Stocks Total	25.00%			\$13,874.79
Large-Cap Stocks				
*Fid Contra	25.00%		125.9771	\$15,428.42
*Invesco Gr Inc A	0.00%		1,236.6703	\$33,353.00
Large-Cap Stocks Total	25.00%			\$48,781.42
Fixed Assets/Cash				
Nrs Florida Fixed Fund	25.00%			\$24,600.03
Fixed Assets/Cash Total	25.00%			\$24,600.03
<b>TOTAL</b>	<b>100.00%</b>			<b>\$120,256.99</b>

\* Fund is valued in shares rather than units

## Your Account Values by Investment Option - October 1, 2017 to December 31, 2017

	<u>Beginning Balance</u>	<u>Contributions/Transfers In</u>	<u>Exchanges</u>	<u>Withdrawals/Transfers Out</u>	<u>Charges/Credits/Fees</u>	<u>Interest/Dividend/Cap Gain/Reimb</u>	<u>Gain/Loss</u>	<u>Ending Balance</u>
<b>457(b) Salary Reduction (0050915001)</b>								
Small-Cap Stocks								
*NeuBer Genesis Tr	\$13,435.84	\$0.00	\$0.00	\$0.00	\$0.00	\$1,628.43	-\$1,591.90	\$13,472.37
Small-Cap Stocks Total	\$13,435.84	\$0.00	\$0.00	\$0.00	\$0.00	\$1,628.43	-\$1,591.90	\$13,472.37
International Stocks								
*Okmrk Int'l	\$19,075.13	\$0.00	\$0.00	\$0.00	\$0.00	\$576.63	-\$123.38	\$19,528.38
International Stocks Total	\$19,075.13	\$0.00	\$0.00	\$0.00	\$0.00	\$576.63	-\$123.38	\$19,528.38
Mid-Cap Stocks								
*JPM MdCap Val I	\$13,264.17	\$0.00	\$0.00	\$0.00	\$0.00	\$341.66	\$268.96	\$13,874.79
Mid-Cap Stocks Total	\$13,264.17	\$0.00	\$0.00	\$0.00	\$0.00	\$341.66	\$268.96	\$13,874.79
Large-Cap Stocks								
*Fid Contra	\$14,453.25	\$0.00	\$0.00	\$0.00	\$0.00	\$820.09	\$155.08	\$15,428.42
*Invesco Gr Inc A	\$31,858.34	\$0.00	\$0.00	\$0.00	\$0.00	\$3,141.41	-\$1,646.75	\$33,353.00
Large-Cap Stocks Total	\$46,311.59	\$0.00	\$0.00	\$0.00	\$0.00	\$3,981.50	-\$1,491.67	\$48,781.42
Fixed Assets/Cash								
Nrs Florida Fixed Fund	\$26,184.07	\$0.00	\$0.00	-\$1,805.40	\$0.00	\$0.00	\$221.36	\$24,600.03
Fixed Assets/Cash Total	\$26,184.07	\$0.00	\$0.00	-\$1,805.40	\$0.00	\$0.00	\$221.36	\$24,600.03
<b>TOTAL</b>	<b>\$118,270.80</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>-\$1,805.40</b>	<b>\$0.00</b>	<b>\$6,508.22</b>	<b>-\$2,716.63</b>	<b>\$120,256.99</b>

\* Fund is valued in shares rather than units

Gain/Loss is the difference between the account balance on the first and last day of the period, after allowing for the effects of transactions.

## Transaction Details - October 1, 2017 to December 31, 2017

<u>Pay Period End Date</u>	<u>Effective Date</u>	<u>Total Amount</u>	<u>Transaction Type</u>	<u>Money Source</u>	<u>Investment Option</u>	<u>Price</u>	<u>Units/Shares</u>	<u>Amount Per Fund</u>
10/23/2017		-\$601.80	Withdrawal	457(b) Salary Reduction	Nrs Florida Fixed Fund			-\$601.80

## Transaction Details (continued)

Pay Period End Date	Effective Date	Total Amount	Transaction Type	Money Source	Investment Option	Price	Units/Shares	Amount Per Fund
11/22/2017		-\$601.80	Withdrawal	457(b) Salary Reduction	Nrs Florida Fixed Fund			-\$601.80
12/8/2017	\$807.69		Capital Gain	457(b) Salary Reduction	Fid Contra	121.9300	6.6242	\$807.69
12/8/2017	\$12.40		Dividend	457(b) Salary Reduction	Fid Contra	121.9300	0.1017	\$12.40
12/13/2017	\$3,112.25		Capital Gain	457(b) Salary Reduction	Invesco Gr Inc A JPM MdCap Val I	26.7000 39.7900	107.3625 6.1742	\$2,866.58 \$245.67
12/13/2017	\$274.83		Dividend	457(b) Salary Reduction	Invesco Gr Inc A	26.7000	10.2933	\$274.83
12/14/2017	\$320.64		Capital Gain	457(b) Salary Reduction	Okmrk Intl I	28.1200	11.4025	\$320.64
12/14/2017	\$255.99		Dividend	457(b) Salary Reduction	Okmrk Intl I	28.1200	9.1036	\$255.99
12/15/2017	\$1,618.13		Capital Gain	457(b) Salary Reduction	NeuBer Genesis Tr	57.0700	28.3534	\$1,618.13
12/15/2017	\$10.30		Dividend	457(b) Salary Reduction	NeuBer Genesis Tr	57.0700	0.1805	\$10.30
12/20/2017	\$95.99		Dividend	457(b) Salary Reduction	JPM MdCap Val I	39.7000	2.4178	\$95.99
12/22/2017	-\$601.80		Withdrawal	457(b) Salary Reduction	Nrs Florida Fixed Fund			-\$601.80

## Fixed Account/Cash

## 457(b) Plan

Investment	Yield
NW BANK LIQUID SAVINGS	0.37%
Nationwide Bank 1-Year CD 1st Qtr 2018	2.07%
Nationwide Bank 3-Year CD 1st Qtr 2018	2.32%
Nationwide Bank 5-Year CD 1st Qtr 2018	2.55%

## IMPORTANT DISCLOSURES

4th Qtr. Yield = 3.50%; 1st Qtr. Yield = 3.50%; Floor through 2018 = 3.50%.

## PERFORMANCE RESULTS

## Investment Performance Information - October 1, 2017 to December 31, 2017

The following are percentage changes in Net Assets (with capital gains and income dividends reinvested) for the funds under the Plan, for the respective periods ended 12/31/2017. The results shown represent past performance and do not represent expected future performance or experience. Past performance does not guarantee future results. Investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost. Current performance may be lower or higher than the data quoted. Performance data current to the most recent month-end may be obtained by visiting: WWW.NRSFLORIDA.COM. Please consider the fund's investment objectives, risks, and charges and expenses carefully before investing. The prospectus contains this and other important information about the investment company. Prospectuses are available by calling (800)949-4457. Read the prospectus carefully before investing.

Please see other important disclosures at the end of this report.

Fund names with <sup>®</sup> symbol indicate a fund in which you were invested as of 12/31/2017.

VRU # -365	Fixed Investment Option NRS Florida Fixed Fund	Annualized Current Quarter Yield 3.500%	Current Year Guaranteed Minimum Yield N/A						Gross Expense Ratio	
			Morningstar Category	3 Month	Average 1 Year	Annual 5 Year	Return 10 Year	Since Inception	Inception Date	
Ticker <sup>®</sup> Symbol	Variable Investment Options									
VGSNX	Vngrd REIT Indx Inst (r)	Real Estate	1.39%	4.93%	9.26%	7.67%	9.40%	12/02/03	0.10%	
<sup>®</sup> NBGEK	Small-Cap Stocks JPM SmCap Eq I NeuBer Genesis Tr	Small Blend Small Growth	4.23% 5.66%	15.59% 15.50%	15.23% 13.26%	11.64% 8.22%	13.64% 12.58%	12/20/94 09/27/88	1.02% 1.10%	
RNWEX	International Stocks AmFds New Wld R4	Diversified Emerging Mkts	5.50%	32.60%	6.58%	3.10%	8.38%	06/17/99	1.01%	
<sup>®</sup> OAKIX	Okmrk Intl I	Foreign Large Blend	2.38%	29.75%	10.50%	6.95%	10.40%	09/30/92	1.05%	
VTSNX	Vngrd Ttl IntlStkIndx Inst (r)	Foreign Large Blend	4.87%	27.55%	7.14%	N/A	6.18%	11/29/10	0.09%	
<sup>®</sup> JMVSX	Mid-Cap Stocks JPM MdCap Val I	Mid-Cap Blend	4.60%	13.41%	13.82%	9.34%	12.66%	11/13/97	1.01%	
VSPMX	Vngrd SPMdCap400 Indx Inst	Mid-Cap Blend	6.24%	16.18%	14.94%	N/A	12.17%	03/28/11	0.08%	
FMDCX	Fed MdCap Indx Inst Svc (a)	Mid-Cap Blend	6.11%	15.57%	14.50%	9.51%	11.54%	11/05/92	0.66%	
TWHIX	AmCent Hert Inv	Mid-Cap Growth	5.58%	21.85%	12.67%	6.66%	11.48%	11/10/87	1.00%	
VINIX	Large-Cap Stocks Vngrd Inst Indx Inst	Large Blend	6.63%	21.79%	15.76%	8.50%	9.93%	07/31/90	0.04%	
BEQGX	AmCent Eq Gr Inv	Large Blend	6.74%	21.85%	14.11%	7.74%	9.70%	05/09/91	0.67%	
<sup>®</sup> FCNTX	Fid Contra	Large Growth	6.75%	32.25%	16.43%	8.96%	12.54%	05/17/67	0.68%	
MSEGX	MSIF Gr P	Large Growth	7.33%	43.45%	19.76%	10.50%	10.02%	01/02/96	0.92%	
<sup>®</sup> ACGIX	Invsco Gr Inc A	Large Value	4.69%	14.21%	14.33%	7.60%	9.63%	08/01/46	0.83%	
DDDFIX	Invsco Divrs Divd R5	Large Value	3.01%	8.53%	13.03%	8.62%	8.92%	10/25/05	0.54%	
FPURX	Balanced Fid Puritan	Allocation—50% to 70% Equity	4.59%	18.74%	11.08%	7.09%	10.99%	04/16/47	0.55%	
AGDKX	Bonds AB Hi Inc K	High Yield Bond	0.22%	7.84%	5.58%	8.25%	10.41%	02/25/94	0.85%	
DODIX	DodgeCox Inc	Intermediate-Term Bond	0.48%	4.36%	3.07%	5.01%	6.80%	12/30/88	0.43%	
Asset Allocation										
TLGRX	TIAACRF LfCyclnd2015 Rtrmt (b)	Target-Date 2015	2.79%	11.97%	7.13%	N/A	7.61%	09/30/09	0.49%	
TLWRX	TIAACRF LfCyclnd2020 Rtrmt (b)	Target-Date 2020	3.12%	13.37%	8.03%	N/A	8.26%	09/30/09	0.47%	
TLQRX	TIAACRF LfCyclnd2025 Rtrmt (b)	Target-Date 2025	3.60%	15.09%	8.97%	N/A	8.95%	09/30/09	0.47%	
TLHRX	TIAACRF LfCyclnd2030 Rtrmt (b)	Target-Date 2030	4.01%	16.74%	9.90%	N/A	9.62%	09/30/09	0.48%	
TLYRX	TIAACRF LfCyclnd2035 Rtrmt (b)	Target-Date 2035	4.45%	18.43%	10.78%	N/A	10.26%	09/30/09	0.46%	
TLZRX	TIAACRF LfCyclnd2040 Rtrmt (b)	Target-Date 2040	4.89%	20.08%	11.47%	N/A	10.70%	09/30/09	0.45%	
TLMRX	TIAACRF LfCyclnd2045 Rtrmt (b)	Target-Date 2045	5.14%	20.89%	11.68%	N/A	10.82%	09/30/09	0.46%	
TLLRX	TIAACRF LfCyclnd2050 Rtrmt (b)	Target-Date 2050	5.24%	21.18%	11.78%	N/A	10.88%	09/30/09	0.47%	
TTIRX	TIAACRF LfCyclnd2055 Rtrmt (b)	Target-Date 2055	5.29%	21.47%	11.88%	N/A	9.45%	04/29/11	0.56%	
TVITX	TIAACRF LfCyclnd2060 Rtrmt (b)	Target-Date 2060+	5.32%	21.61%	N/A	N/A	9.38%	09/26/14	1.25%	
TRCIX	TIAACRF LfCyclndRtInc Rtrmt (b)	Target-Date Retirement	2.40%	10.24%	5.78%	N/A	6.56%	09/30/09	0.61%	

**Investment Performance Information - October 1, 2017 to December 31, 2017****FEE DISCLOSURES**

The Standardized illustration represents performance based on a \$10,000 hypothetical investment. Fees and expenses listed are in addition to the fees disclosed in the underlying fund prospectus.

Gross expense ratios represent the fund's total operating expenses expressed as a percentage of the assets held in the fund. For more information about gross expense ratios, read the fund's prospectus.

Some mutual funds may impose a short term trade fee. Some funds may be subject to a trade restriction policy. Please read the underlying prospectus carefully.

**FOOTNOTES**

a) These funds are not open to new participants.  
 b) These funds are designed to provide diversification and asset allocation across several types of investments and asset classes, primarily by investing in underlying funds. Therefore, in addition to the fund's expenses, you are indirectly paying a proportionate share of the applicable fees and expenses of the underlying funds.

r) These funds have an asset management charge of up to 0.30% applied in addition to the fund fees charged by Vanguard. International investing involves additional risks including: political instability, currency fluctuations, differences in accounting standards, and foreign regulations.

**RISK DISCLOSURES**

**Money market funds:** Money market funds are not insured or guaranteed by the FDIC or any other government agency. Although the goal of a money market is to preserve the value of an investment at \$1 per share, it is still possible to lose money.

**International/emerging markets funds:** Funds that invest in international stocks face risks that funds investing only in U.S. stocks do not. Currency fluctuation, political risk, differences in accounting standards and the limited availability of information may make these funds less stable.

**Small company funds:** Small and emerging companies may have less liquidity than larger, established companies. Therefore, funds investing in stocks of small or emerging companies may face greater price volatility and risk.

**High-yield bond funds:** Funds that invest in high-yield securities may have more credit risk and changes in price than funds that invest in higher-quality securities.

**Non-diversified funds:** Funds that invest in a single industry or small number of securities may be more volatile than those that invest more broadly.

**Government bond funds:** These funds invest mainly in securities of the U.S. government and its agencies; however, these entities do not guarantee the value of the funds.

**Real estate funds:** Funds that invest mainly in real estate are sensitive to economic and business cycles, changing demographic patterns and government actions.

**IMPORTANT DISCLOSURES**

"Inception Date" is the date the fund was established. The "Since Inception" column contains performance for the funds if the Inception Date was before the time period indicated.

Performance numbers in the "3 Month" and "1 Year" columns are not annualized returns and represent the total percentage change in share value for the time periods indicated.

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STATE OF FLORIDA DEFERRED COMPENSATION PLAN  
PO BOX 182797  
COLUMBUS OH 43218-2797



Acct#:

January 1, 2018 - March 31, 2018

*Attachment 13B*

Questions? Contact Us!

CUSTOMER SERVICE: (800)949-4457  
(ESPAÑOL): (800)949-4457  
TTY: (800)949-4457  
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COLUMBUS OH 43218-2797

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Nationwide Investment Svcs. Corporation

KRISTEN E CARLSON

LAKELAND FL 33803-2601

Please review your statement carefully. Corrections may not be accepted more than 45 days after the closing date of the statement.

### Activity at a Glance

Balance as of 01-01-18	457(b) Plan
Contributions/Transfers In*	\$120,256.99
Interest/Dividend/Cap Gain/Reimb	\$0.00
Gain/Loss	\$339.06
Withdrawals/Transfers Out**	-\$549.85
Charges/Fees	-\$1,841.52
Balance as of 03-31-18	\$0.00
	\$118,204.68

\*Including, but not limited to, Employee and Employer Contributions, Rollovers and Transfers In.

\*\*Including, but not limited to, Rollovers and Transfers Out, Partial and Lump Sum Payments.

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### Total Gain Loss Since Joining

Plan Type	Contributions Since Joining/ Transfers In/Loan Payment	Withdrawals/Transfers Out/Loan Disbursement	Gain/Loss/Fees	Total Balance as of 03-31-18
457(b) Plan	\$55,853.00	-\$15,063.12	\$77,614.80	\$118,204.68

"Since Joining" refers to the period of time your account has been administered by Nationwide. If applicable, the above data is inclusive of Self Directed Option balance. For more information about asset fees, contact your customer service center.

### Contributions and Distributions Summary - January 1, 2018 to March 31, 2018

	Last Contribution Amount	Contributions This Period	Contributions Year to Date	Last Distribution Amount	Distributions Year to Date
457(b) Plan	\$0.00	\$0.00	\$0.00	-\$613.84	-\$1,841.52

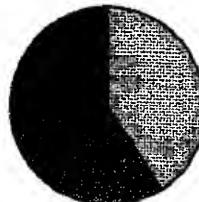
### Your Asset Allocation - January 1, 2018 to March 31, 2018

To the right is your Account Allocation. Your Account Allocation is how the money in your account is divided among the investment options you have selected.

For more information on contribution and account allocation, log on to [WWW.NRSFLORIDA.COM](http://WWW.NRSFLORIDA.COM)

\*Percentages and totals may not be exact due to rounding.

#### Your Account Allocation



#### Investment Type

41% Large-Cap Stocks	\$48,336.85
19% Fixed Assets/Cash	\$22,981.62
18% International Stocks	\$18,986.39
12% Small-Cap Stocks	\$14,286.94
12% Mid-Cap Stocks	\$13,830.88
<b>100% Total Percent/Value</b>	<b>\$118,204.68</b>

## Statement Details

### Investment Option Summary - January 1, 2018 to March 31, 2018

Ending Price is as of 3/31/2018

Investment Option	Current Investment Election	Ending Price	Units/Shares	Value
<b>457(b) Salary Reduction</b>				
<b>Small-Cap Stocks</b>				
"Neuber Genesis Tr	25.00%	58.0200	246.2417	\$14,286.94
<b>Small-Cap Stocks Total</b>	<b>25.00%</b>			<b>\$14,286.94</b>
<b>International Stocks</b>				
"Okmrk Intl I	0.00%	27.7800	683.5275	\$18,988.39
<b>International Stocks Total</b>	<b>0.00%</b>			<b>\$18,988.39</b>
<b>Mid-Cap Stocks</b>				
"JPM MdCap Val I	25.00%	39.1200	348.4376	\$13,630.88
<b>Mid-Cap Stocks Total</b>	<b>25.00%</b>			<b>\$13,630.88</b>
<b>Large-Cap Stocks</b>				
"Fd Contra	25.00%	124.2700	127.8932	\$15,893.29
"Invco Gr Inc A	0.00%	26.1500	1,240.6716	\$32,443.56
<b>Large-Cap Stocks Total</b>	<b>25.00%</b>			<b>\$48,336.85</b>
<b>Fixed Assets/Cash</b>				
"Ns Florida Fixed Fund	25.00%			\$22,961.62
<b>Fixed Assets/Cash Total</b>	<b>25.00%</b>			<b>\$22,961.62</b>
<b>TOTAL</b>	<b>100.00%</b>			<b>\$118,204.86</b>

\* Fund is valued in shares rather than units

### Your Account Values by Investment Option - January 1, 2018 to March 31, 2018

	Beginning Balance	Contributions/ Transfers In	Exchanges	Withdrawals/ Transfers Out	Charges/ Credits/Fees	Interest/ Dividends/ Cap Gain/ Reimb	Gain/Loss	Ending Balance
<b>457(b) Salary Reduction (8050815001)</b>								
<b>Small-Cap Stocks</b>								
"Neuber Genesis Tr	\$13,472.37	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$14,286.94
<b>Small-Cap Stocks Total</b>	<b>\$13,472.37</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$14,286.94</b>
<b>International Stocks</b>								
"Okmrk Intl I	\$19,528.36	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	-\$530.99	\$18,988.39
<b>International Stocks Total</b>	<b>\$19,528.36</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>-\$530.99</b>	<b>\$18,988.39</b>
<b>Mid-Cap Stocks</b>								
"JPM MdCap Val I	\$13,874.79	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	-\$243.91	\$13,630.88
<b>Mid-Cap Stocks Total</b>	<b>\$13,874.79</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>-\$243.91</b>	<b>\$13,630.88</b>
<b>Large-Cap Stocks</b>								
"Fd Contra	\$15,428.42	\$0.00	\$0.00	\$0.00	\$0.00	\$234.07	\$230.80	\$15,893.29
"Invco Gr Inc A	\$33,363.00	\$0.00	\$0.00	\$0.00	\$0.00	\$104.99	-\$1,014.43	\$32,443.56
<b>Large-Cap Stocks Total</b>	<b>\$48,791.42</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$338.06</b>	<b>-\$783.63</b>	<b>\$48,336.85</b>
<b>Fixed Assets/Cash</b>								
"Ns Florida Fixed Fund	\$24,600.03	\$0.00	\$0.00	-\$1,841.52	\$0.00	\$0.00	\$203.11	\$22,961.62
<b>Fixed Assets/Cash Total</b>	<b>\$24,600.03</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>-\$1,841.52</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$203.11</b>	<b>\$22,961.62</b>
<b>TOTAL</b>	<b>\$120,236.90</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>-\$1,841.52</b>	<b>\$0.00</b>	<b>\$338.06</b>	<b>-\$549.95</b>	<b>\$118,204.86</b>

\* Fund is valued in shares rather than units

Gain/Loss is the difference between the account balance on the first and last day of the period, after allowing for the effects of transactions.

### Transaction Details - January 1, 2018 to March 31, 2018

Pay Period End Date	Effective Date	Total Amount	Transaction Type	Money Source	Investment Option	Price	Units/Shares	Amount Per Fund
1/23/2018		\$613.84	Withdrawal	457(b) Salary Reduction	Ns Florida Fixed Fund			\$613.84

## Transaction Details (continued)

Pay Period End Date	Effective Date	Total Amount	Transaction Type	Money Source	Investment Option	Price	Units/Shares	Amount Per Fund
	2/9/2018	\$234.07	Capital Gain	457(b) Salary Reduction	Fid Contra	122.1600	1.9161	\$234.07
2/23/2018	-\$613.84	Withdrawal	457(b) Salary Reduction	Nrs Florida Fixed Fund				-\$613.84
3/22/2018	\$104.99	Dividend	457(b) Salary Reduction	Invesco Gr Inc A		26.2400	4.0013	\$104.99
3/23/2018	-\$613.84	Withdrawal	457(b) Salary Reduction	Nrs Florida Fixed Fund				-\$613.84
3/28/2018	\$716.69	Unit Adjustment	457(b) Salary Reduction	NeuBer Genesis Tr		57.1100	12.5493	\$716.69

## Fixed Account/Cash

## 457(b) Plan

Investment	Yield
NW BANK LIQUID SAVINGS	2.11%
Nationwide Bank 1-Year CD 2nd Qtr 2018	2.39%
Nationwide Bank 3-Year CD 2nd Qtr 2018	2.78%
Nationwide Bank 5-Year CD 2nd Qtr 2018	2.98%

## IMPORTANT DISCLOSURES

1st Qtr. Yield = 3.50%; 2nd Qtr. Yield = 3.50%; Floor through 2018 = 3.50% All bi-weekly deferrals applied to accounts effective March 29th will be displayed on the next quarterly statement. You may also check the website [www.nrsflorida.com](http://www.nrsflorida.com) to confirm your up-to-date transaction history information.